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# Request for grant of a patent

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24 SEP 2002

1. Your reference

SMW/FP6091896

2. Patent application number

(The Patent Office will fill in this part)

0222162.0

24 SEP 2002

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Patents ADP number (if you know it)

KAROLINSKA INNOVATIONS AB  
Fogdevreten 2B  
S-171 77 Stockholm  
SWEDEN

If the applicant is a corporate body, give the country/state of its incorporation

SE

08071064 001

4. Title of the invention

METHODS AND MATERIALS RELATING TO NEUROGENESIS

5. Name of your agent (if you have one)

MEWBURN ELLIS

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

YORK HOUSE  
23 KINGSWAY  
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Patents ADP number (if you know it)

109006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

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Date of filing  
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Number of earlier application

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8. Is a statement of inventorship and of right to grant of a patent required in support of this request?

(Answer "Yes" if:

a) any applicant named in part 3 is not an inventor, or  
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Description 64

Claim(s) 8

Abstract 0

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Priority documents 0

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Statement of inventorship and right to grant of a patent (Patents Form 7/77) 0

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 1

Any other documents 0  
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11. I/We request the grant of a patent on the basis of this application.

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Date

19 September 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

Seán M Walton

020 7240 4405

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METHODS AND MATERIALS RELATING TO NEUROGENESIS

The present invention relates to the induction of the neuronal fate in neural stem cells or neural progenitor or precursor cells, or other stem cells. It relates to the induction of a specific neuronal phenotype, and particularly to the induction of a midbrain dopaminergic neuronal phenotype.

The enhancement of induction of neuronal phenotype has the potential to allow for treatment of Parkinson's disease and other seriously debilitating neuro-degenerative disorders. Previously, human fetal mesencephalic tissue has been grafted into Parkinsonian patients with positive results, but development of specific cell replacement therapies utilising the present invention overcomes practical and ethical difficulties with such prior approaches. In particular, the present invention allows for development of cell preparations for transplantation while reducing or eliminating any need for use of embryo tissue or embryonic cells. Stem cells may be obtained from the umbilical cord, a tissue that is normally discarded. Another option is to obtain adult stem cells, e.g. from bone marrow, blood, skin or the eye.

Previously (WO00/66713 and Wagner et al., 1999), the present inventors' laboratory showed that induction of dopaminergic neuronal phenotype is enhanced in cells expressing *Nurr1* in the presence of one or more factors obtainable from a Type 1 astrocyte/early glial cell of the ventral mesencephalon. The present invention is based on experimental finding that Wnt factors are useful in enhancing induction of neuronal phenotype of cells expressing *Nurr1*. In particular, the inventors have found that Wnt-1 is the most efficient Wnt at promoting the proliferation of dopaminergic precursors, and that Wnt-5a is the most efficient at inducing a dopaminergic

phenotype in neural stem, precursor or progenitor cells.

The induction of specific neuronal phenotypes requires the integration of both genetic and epigenetic signals. In the developing midbrain, the induction of dopaminergic neurons requires the orphan nuclear receptor *Nurr1* (Zetterström et al., 1997; Saucedo-Cárdenas et al., 1998; Castillo et al., 1998), but expression of *Nurr1* is not sufficient to induce a dopaminergic phenotype in neural stem cells (Wagner et al., 1999). We previously reported that a combination of *Nurr1* and an unknown soluble signal derived from developing ventral midbrain type 1 astrocytes/ early glial cells is sufficient to induce a midbrain dopaminergic phenotype in neural stem cells (Wagner et al., 1999). Here we describe that Wnt-5a is such a signal and that members of the Wnt family of proteins, including Wnt-1, -3a and -5a are developmentally regulated and differentially control the development of midbrain dopaminergic neurons. Partially purified Wnt-1 and -5a, but not Wnt-3a, increased the number of E14.5 midbrain DA neurons by two different mechanisms. While Wnt-1 predominantly increased the proliferation of *Nurr1* precursors, Wnt-5a mainly increased the proportion of *Nurr1* precursors that acquired a neuronal DA phenotype. In agreement with this, Wnt5a was as efficient as midbrain astrocytes/early glial cells at inducing dopaminergic neurons in *Nurr1*-expressing midbrain or cortical E13.5 precursors. Moreover, the cystein rich domain of Frizzled 8 efficiently blocked the basal and the VM T1A- or Wnt5a-mediated induction of a dopaminergic phenotype in *Nurr1*-expressing neural precursors or FGF-8 expanded *Nurr1*+ midbrain neurospheres. Thus, the data included herein provide indication that Wnt-5a is both sufficient and required to induce a DA phenotype in *Nurr1*-expressing precursors/stem cells. These findings place Wnt ligands as key regulators of

proliferation and fate decisions during ventral midbrain neurogenesis. Moreover, our results pave the way for the large scale production of midbrain DA neurons *in vitro* and for the future implementation of stem cell replacement strategies in the treatment of Parkinson's disease (Bjorklund and Lindvall 2000; Price and Williams 2001; Arenas, 2002 Rossi and Cattaneo, 2002; Gottlieb et al., 2002).

Embryonic, neural and multipotent stem cells have the ability to differentiate into neural cell lineages including neurons, astrocytes and oligodendrocytes. Moreover, stem cells can be isolated, expanded, and used as source material for brain transplants (Snyder, E. Y. et al. Cell 68, 33-51 (1992); Rosenthal, A. Neuron 20, 169-172 (1998); Bain et al., 1995; Gage, F.H., et al. Ann. Rev. Neurosci. 18, 159-192 (1995); Okabe et al., 1996; Weiss, S. et al. Trends Neurosci. 19, 387-393 (1996); Snyder, E. Y. et al. Clin. Neurosci. 3, 310-316 (1996); Martínez-Serrano, A. et al. Trends Neurosci. 20, 530-538 (1997); McKay, R. Science 276, 66-71 (1997); Deacon et al., 1998; Studer, L. et al. Nature Neurosci. 1, 290-295 (1998); Bjorklund and Lindvall 2000; Brustle et al., 1999; Lee et al., 2000; Shuldiner et al., 2000 and 2001; Reubinooff et al., 2000 and 2001; Tropepe et al., 2001; Zhang et al., 2001; Price and Williams 2001; Arenas 2002; Bjorklund et al., 2002; Rossi and Cattaneo, 2002; Gottlieb et al., 2002).

Most neurodegenerative diseases affect neuronal populations. Moreover, most of the damage occurs to a specific neurochemical phenotype. In human Parkinson's disease, for example, the major cell type lost is midbrain dopaminergic neurons. Functional replacement of specific neuronal populations through transplantation of neural tissue

represents an attractive therapeutic strategy for treating neurodegenerative diseases (Rosenthal, A. Neuron 20, 169-172 (1998)).

5 An ideal material for use in transplantation therapy is an expandable cell that could be instructed to assume a neuronal phenotype, particularly a specific neuronal phenotype, upon differentiation. This strategy would circumvent ethical and practical issues surrounding the use of human fetal tissue for  
10 transplantation. In particular, implanted embryonic cells are of limited viability and may be rejected by the immune system. In addition, each fetus provides only a small number of cells.

Induction of a single and specific neuronal phenotype in stem  
15 or progenitor or precursor cells has proven elusive.

The present invention provides for induction of dopaminergic neuronal phenotype in cells.

20 The present invention allows for induction of dopaminergic neuron development, promotion of dopaminergic neuron differentiation, increasing in yield of dopaminergic neurons and induction of unlimited numbers of dopaminergic neurons in stem, progenitor or precursor cells *in vitro* or *in vivo*, by  
25 increasing Wnt levels and/or function in cultures or in the brain. Cell preparations rich in dopaminergic neurons may be used for cell replacement therapy in Parkinson's disease or other disorders, and for studying signaling events in dopaminergic neurons and the effects of drugs on dopaminergic  
30 neurons *in vitro*, for instance in high throughput screening.

In a first aspect, the present invention provides a method of inducing a dopaminergic neuronal fate in a stem cell, neural

stem cell or neural progenitor or precursor cell, the method comprising:

expressing *Nurr1* above basal levels within the cell,  
and

5        treating the cell with a Wnt ligand,  
whereby dopaminergic neurons are produced.

Treating with a Wnt ligand may be *in vivo*, *ex vivo*, or in culture.

10

Treating with a Wnt ligand may be by means of contacting a cell with the ligand. Treating with a Wnt ligand may be by means of provision of purified and/or recombinant Wnt ligand to a culture comprising the stem, progenitor or precursor  
15 cell, or to such a cell *in vivo*. Contacting with a Wnt ligand may be by means of providing *in vivo* or within a culture comprising the stem, progenitor or precursor cell a recombinant host cell that produces the Wnt ligand by recombinant expression. A host cell may be transformed with  
20 nucleic acid encoding a Wnt ligand, in accordance with available techniques in the art. Treatment with a Wnt ligand may also be by means of upregulating Wnt expression in the cell or by downregulating or inhibiting an inhibitor molecule of the Wnt ligand. Thus treatment with a Wnt ligand may arise  
25 by decreasing expression or activity of Wnt-interacting molecules, such as SFRP, WIF, dkk or Cerberus (Martinez Arias et al., 1999; <http://www.stanford.edu/~rnusse/wntwindow.html> as of 15 August 2002, or findable using any web browser).

30 In addition to provision of Wnt ligand, the stem cell or neural stem, progenitor or precursor cell may be in co-culture with Type 1 astrocytes/glia cells, or in contact with such cells or factors derived from them *in vitro* or *in vivo*.



However, in accordance with the present invention reliance is not placed on the Type 1 astrocytes to provide Wnt ligand, and a co-culture containing a neural stem cell or neural progenitor cell and Type 1 astrocytes without extraneous provision of Wnt ligand is not contemplated within the scope of the present invention, nor are methods employing such a co-culture.

Nurr1 (Law, et al., (1992) Mol Endocrinol 6, 2129-2135; Xing, et al., (1997) Mol Brain Res 47, 251-261; Castillo (1997) Genomics, 41, 250-257; GenBank nos. S53744, U72345, U86783) is a transcription factor of the thyroid hormone/retinoic acid nuclear receptor superfamily. As shown previously in WO00/66713 and Wagner et al., 1999, expression of Nurr1 above basal levels in neural stem cells or neural progenitor cells increases the proportion of the cells which differentiate toward a neuronal fate. The induction of a neuronal fate may be carried out *in vitro* or *in vivo*. The ability to induce differentiation of stem cells or neural stem, progenitor or precursor cells toward the neuronal fate prior to, or following transplantation, ameliorates the biasing of transplanted stem cells to the astro- and oligodendroglial fates.

Members of the wnt family of glycoproteins are poorly soluble (Bradley and Brown, 1990 and 1995) and expressed in the developing mesencephalon (Parr et al., 1993). Wnts regulate midbrain-hindbrain development (McMahon and Bradley, 1990; Thomas and Capecchi, 1990), neural patterning (Kiecker and Niehrs, 2001; Nordström et al., 2002; Houart et al., 2002), precursor proliferation (Taipale and Beachy, 2001; Chenn and Walsh, 2002; Megason and McMahon, 2002) and fate decisions in multiple tissues (Kispert et al., 1998; Ross et al., 2000;

Hartmann and Tabin, 2001; Marvin et al., 2001; Schneider and Mercola, 2001; Tzahor and Lassar, 2001; Pandur et al., 2002), including the nervous system (Dorsky et al., 1998; Baker et al., 1999; Wilson et al., 2001; Garcia-Castro et al., 2002; 5 Muroyama et al., 2002).

As used herein, a "Wnt polypeptide", "Wnt glycoprotein" or "Wnt ligand" refers to a member of the Wingless-int family of secreted proteins that regulate cell-to-cell interactions. Wnts are highly conserved from *Drosophila* and *Caenorhabditis elegans*, to *Xenopus*, zebrafish and mammals. The 19 Wnt proteins currently known in mammals bind to two cell surface receptor types: the seven transmembrane domain Frizzled receptor family, currently formed by 10 receptors, and the Low 15 density lipoprotein-receptor related proteins (LRP) 5 and 6. The signal conveyed by Wnts is transduced via three known signaling pathways: (1) the so called canonical signaling pathway, in which GSK3 beta is inhibited, does not phosphorylate beta-catenin, which is then not degraded and is 20 translocated to the nucleus to form a complex with TCF and activate transcription of Wnt target genes. (2) the planar polarity and convergence-extension pathway, via Jnk. (3) and the inositol 1,4,5 triphosphate (IP3)/calcium pathway, in which calcineurin dephosphorylates and activates the nuclear 25 factor of activated T cells (NF-AT) (Saneyoshi et al., 2002). For review see the Wnt home page, findable on the web using any available browser ([www.stanford.edu/~rnusse/wntwindow.html](http://www.stanford.edu/~rnusse/wntwindow.html) as of 15 August 2002).

30 In some preferred embodiments of the various aspects of the present invention the Wnt ligand is a Wnt1 ligand. Human Wnt1 amino acid sequence is available under GenBank reference Swiss protein accession number P04628 and encoding nucleic acid

under reference X03072.1 for DNA and NM\_005430.2 for RNA. Human Wnt1 nucleic acid can be amplified using primers with SEQ ID NO:'s 1 and 2, identified further below.

- 5 In some preferred embodiments of the various aspects of the present invention the Wnt ligand is a Wnt5a ligand. Human Wnt5a amino acid sequence is available under GenBank reference Swiss protein accession number P41221. and encoding nucleic acid under reference AI634753.1 AK021503 L20861 L20861.1
- 10 U39837.1 for DNA and NM\_003392 for RNA. Human Wnt5a nucleic acid can be amplified using primers with SEQ ID NO:'s 5 and 6, identified further below.

Although not preferred for generation of dopaminergic neurons, 15 some preferred embodiments of the present invention may employ a Wnt3a ligand. Various aspects and embodiments of the invention analogous to those disclosed herein for generation and use of dopaminergic neurons are provided by the present invention in which a Wnt3a ligand is used to induce other, 20 i.e. non-dopaminergic, neuronal phenotypes. As demonstrated by the experiments described herein, Wnt3a decreases the number of *Nurr-1* expressing progenitors that develop dopaminergic neurons. However, since the total number of neurons is not decreased other neuronal phenotypes may be 25 produced, e.g. dorsal midbrain phenotypes, including serotonergic neurons. Loss of serotonergic neurons is associated with depression, so neurons generated by methods comprising use of a Wnt3a ligand, and/or a Wnt3a ligand itself, may be used in therapies e.g. of depression.

30

Human Wnt3a amino acid sequence is available under GenBank reference SwissProt Accession No.P56704 and encoding nucleic acid under reference AB060284 AB060284.1 AK056278 AK056278.1

for DNA and NM\_033131 for mRNA. Human Wnt3a nucleic acid can be amplified using primers with SEQ ID NO:'s 3 and 4, identified further below.

- 5 A wild-type Wnt ligand may be employed, or a variant or derivative, e.g. by addition, deletion, substitution and/or insertion of one or more amino acids, provided the function of enhancing development of a dopaminergic neuronal fate in a stem cell, neural stem cell or neural progenitor or precursor  
10 cell is retained.

By "stem cell" is meant any cell type that can selfrenew and, if it is an embryonic stem (ES) cell, can give rise to all cells in an individual, or, if it is a multipotent or neural  
15 stem cell, can give rise to all cell types in the nervous system, including neurons, astrocytes and oligodendrocytes. A stem cell may express one or more of the following markers: Oct-4; Sox1-3; stage specific embryonic antigens (SSEA-1, -3, and -4), and the tumor rejection antigens TRA-1-60 and -1-81,  
20 as described (Trophepe et al., 2001; Xu et al., 2001). A neural stem cell may express one or more of the following markers: Nestin; the p75 neurotrophin receptor; Notch1, SSEA-1 (Capela and Temple, 2002).

- 25 By "neural progenitor cell" is meant a daughter or descendant of a neural stem cell, with a more differentiated phenotype and/or a more reduced differentiation potential compared to the stem cell. By precursor cell it is meant any other cell being or not in a direct lineage relation with neurons during  
30 development but that under defined environmental conditions can be induced to transdifferentiate or redifferentiate or acquire a neuronal phenotype. In preferred embodiments, the stem, neural stem, progenitor or precursor cell does not

express or express efficiently tyrosine hydroxylase either spontaneously or upon deprivation of mitogens (e.g. bFGF, EGF or serum).

- 5 A stem cell, neural stem cell or neural progenitor or precursor cell may be obtained or derived from any embryonic, fetal or adult tissue, including bone marrow, skin, eye, nasal epithelia, or umbilical cord, or region of the nervous system, e.g. from the cerebellum, the ventricular zone, the sub-  
10 ventricular zone, the striatum, the midbrain, the hindbrain, the cerebral cortex or the hippocampus. It may be obtained or derived from a vertebrate organism, e.g. from a mammal, which may be human or non-human, such as rabbit, guinea pig, rat, mouse or other rodent, cat, dog, pig, sheep, goat, cattle,  
15 horse, or primate, or from a bird, such as a chicken.

In preferred embodiments of the present invention, adult stem/progenitor/precursor cells are used, *in vitro*, *ex vivo* or *in vivo*. This requires a consenting adult (e.g. from which  
20 the cells are obtained) and approval by the appropriate ethical committee. If a human embryo/fetus is used as a source, the human embryo is one that would otherwise be destroyed without use, or stored indefinitely, especially a human embryo created for the purpose of IVF treatment for a  
25 couple having difficulty conceiving. IVF generally involves creation of human embryos in a number greater than the number used for implantation and ultimately pregnancy. Such spare embryos may commonly be destroyed. With appropriate consent from the people concerned, in particular the relevant egg  
30 donor and/or sperm donor, an embryo that would otherwise be destroyed can be used in an ethically positive way to the benefit of sufferers of severe neurodegenerative disorders such as Parkinson's disease. The present invention itself

does not concern the use of a human embryo in any stage of its development. As noted, the present invention minimizes the possible need to employ a material derived directly from a human embryo, whilst allowing for development of valuable  
5 therapies for terrible diseases.

In some preferred embodiments, a stem or progenitor or precursor cell contacted with a Wnt ligand and otherwise treated and/or used in accordance with any aspect of the  
10 present invention is obtained from a consenting adult or child for which appropriate consent is given, e.g. a patient with a disorder that is subsequently treated by transplantation back into the patient of neurons generated in accordance with the invention, and/or treated with one or more Wnt ligands and/or  
15 one or more type 1 astrocyte/early glial cell-derived factors to promote endogenous dopaminergic neuron development or function.

The neuronal fate to which the stem or progenitor or precursor  
20 cell is induced may exhibit an undifferentiated phenotype or a primitive neuronal phenotype. It may be a totipotent cell, capable of giving rise to any cell type in an individual, or a multipotent cell which is capable of giving rise to a plurality of distinct neuronal phenotypes, or a precursor or  
25 progenitor cell, capable of giving rise to more limited phenotype during normal development but capable of giving rise to other cells when exposed to appropriate environmental factors *in vitro*. It may lack markers associated with specific neuronal fates, e.g. tyrosine hydroxylase.

30

In a method of inducing a neuronal fate according to the present invention wherein a plurality of stem cells, neural stem cells and/or progenitor cells and/or precursor cells

express *Nurrl* above basal levels, and the cells are treated with Wnt ligand, a majority of the cells may be induced to adopt a neuronal fate. In preferred embodiments, more than 60%, more than 70%, more than 80%, more than 90% of the stem  
5 and/or progenitor cells may be induced to a neuronal fate.

By "expressing *Nurrl* above basal levels within the cell" is meant expressing *Nurrl* at levels greater than that at which it is expressed in the (unmodified) cell *in vivo* under non-  
10 pathological conditions. Expression above basal levels includes transcriptional, translational, posttranslational, pharmacological and artificial upregulation and over-expression.

15 Expression of *Nurrl* above basal levels may be achieved by any method known to those skilled in the art. By way of example, expression above basal levels may be induced by modulating the regulation of native genomic *Nurrl*. This may be done by inhibiting or preventing degradation of *Nurrl* mRNA or protein  
20 or by increasing transcription and/or translation of *Nurrl*, e.g. by contacting the cell with fibroblast growth factor 8 (FGF8), which upregulates transcription of *Nurrl* (Rosenthal, A., (1998) *Cell*, 93(5), 755-766), and/or by introducing heterologous regulatory sequences into or adjacent the native  
25 regulatory region of *Nurrl*, and/or by replacing the native regulatory region of *Nurrl* with such heterologous regulatory sequences, e.g. by homologous recombination, and/or by disrupting or downregulating molecules that negatively regulate, block or downregulate transcription, translation or  
30 the function of *Nurrl*, e.g. *Nurr2* (Ohkura, et al., (1999) *Biochim Biophys Acta* 14444: 69-79).

Transcription may be increased by providing the stem, neural

stem, precursor or progenitor cell with increased levels of a transcriptional activator, e.g. by contacting the cell with such an activator or by transformation of the cell with nucleic acid encoding the activator. Alternatively,  
5 transcription may be increased by transforming the cell with antisense nucleic acid to a transcriptional inhibitor of *Nurrl*.

Accordingly, a method of the present invention of inducing a  
10 neuronal fate in a stem, neural stem, precursor or progenitor cell, may include contacting the cell with FGF8 or FGF20 (Ohmachi et al., 2000).

As an alternative or addition to increasing transcription  
15 and/or translation of endogenous *Nurrl*, expression of *Nurrl* above basal levels may be caused by introduction of one or more extra copies of *Nurrl* into the stem, neural stem, precursor or progenitor cell.

20 Accordingly, in a further aspect, the present invention provides a method of inducing a neuronal fate in a stem cell, neural stem cell, neural progenitor or precursor cell, the method including, in addition to contacting the cell with Wnt ligand transforming the cell with *Nurrl*. Transformation of  
25 the stem, neural stem, precursor or progenitor cell may be carried out *in vitro*, *in vivo* or *ex vivo*. The neuronal fate to which the cell is induced may be of the type discussed herein, e.g. it may exhibit a primitive neuronal phenotype and may lack markers associated with specific neuronal fates. The  
30 invention further provides a stem cell, neural stem cell or neural progenitor or precursor cell transformed with *Nurrl* and contacted with Wnt ligand.



Transformed *Nurrl* and/or Wnt ligand may be contained on an extra-genomic vector or it may be incorporated, preferably stably, into the genome. It may be operably-linked to a promotor which drives its expression above basal levels in stem cells, or neural stem, precursor or progenitor cells, as is discussed in more detail below.

"Operably linked" means joined as part of the same nucleic acid molecule, suitably positioned and oriented for transcription to be initiated from the promoter.

Methods of introducing genes into cells are well known to those skilled in the art. Vectors may be used to introduce *Nurrl* and/or Wnt ligand into stem, or neural stem, precursor or progenitor cells, whether or not the *Nurrl* and/or Wnt ligand remains on the vector or is incorporated into the genome. Suitable vectors can be chosen or constructed, containing appropriate regulatory sequences, including promoter sequences, terminator fragments, polyadenylation sequences, enhancer sequences. Vectors may contain marker genes and other sequences as appropriate. The regulatory sequences may drive expression of *Nurrl* and/or Wnt ligand within the stem, or neural stem, precursor or progenitor cells. For example, the vector may be an extra-genomic expression vector, or the regulatory sequences may be incorporated into the genome with *Nurrl* and/or Wnt ligand. Vectors may be plasmids or viral.

*Nurrl* and/or Wnt ligand may be placed under the control of an externally inducible gene promoter to place it under the control of the user. The term "inducible" as applied to a promoter is well understood by those skilled in the art. In essence, expression under the control of an inducible promoter

is "switched on" or increased in response to an applied stimulus. The nature of the stimulus varies between promoters.

Some inducible promoters cause little or undetectable levels of expression (or no expression) in the absence of the appropriate stimulus. Whatever the level of expression is in the absence of the stimulus, expression from any inducible promoter is increased in the presence of the correct stimulus. An example of an inducible promoter is the Tetracyclin ON/OFF system (Gossen, et al., (1995) Science, 268, 1766-1769) in which gene expression is regulated by tetracyclin analogs.

For further details see, for example, *Molecular Cloning: a Laboratory Manual*: 3rd edition, Sambrook and Russell, 2001, Cold Spring Harbor Laboratory Press. Many known techniques and protocols for manipulation of nucleic acid, for example in preparation of nucleic acid constructs, mutagenesis, sequencing, introduction of DNA into cells and gene expression, and analysis of proteins, are described in detail in *Current Protocols in Molecular Biology*, Ausubel et al. eds., John Wiley & Sons, 1992 or later edition.

Marker genes such as antibiotic resistance or sensitivity genes may be used in identifying clones containing nucleic acid of interest, as is well known in the art. Clones may also be identified or further investigated by binding studies, e.g. by Southern blot hybridisation.

Nucleic acid including *Nurrl* and/or encoding Wnt ligand may be integrated into the genome of the host stem, progenitor or precursor cell. Integration may be promoted by including in the transformed nucleic acid sequences which promote recombination with the genome, in accordance with standard techniques. The integrated nucleic acid may include

regulatory sequences able to drive expression of the *Nurrl* gene and/or Wnt ligand in a stem cell, or neural stem, progenitor or precursor cells. The nucleic acid may include sequences which direct its integration to a site in the genome where the *Nurrl* and/or Wnt ligand coding sequence will fall under the control of regulatory elements able to drive and/or control its expression within the stem, or neural stem, precursor or progenitor cell. The integrated nucleic acid may be derived from a vector used to transform *Nurrl* and/or Wnt ligand into the stem cell, or neural stem, precursor or progenitor cells, as discussed herein.

The introduction of nucleic acid comprising *Nurrl* and/or encoding Wnt ligand, whether that nucleic acid is linear, branched or circular, may be generally referred to without limitation as "transformation". It may employ any available technique. Suitable techniques may include calcium phosphate transfection, DEAE-Dextran, PEI, electroporation, mechanical techniques such as microinjection, direct DNA uptake, receptor mediated DNA transfer, transduction using retrovirus or other virus and liposome- or lipid-mediated transfection. When introducing a chosen gene construct into a cell, certain considerations must be taken into account, well known to those skilled in the art. It will be apparent to the skilled person that the particular choice of method of transformation to introduce *Nurrl* and/or Wnt ligand into a stem cell, or neural stem, precursor or progenitor cells is not essential to or a limitation of the invention.

Suitable vectors and techniques for *in vivo* transformation of stem cells, or neural stem, precursor or progenitor cells with *Nurrl* and/or Wnt ligand are well known to those skilled in the art. Suitable vectors include adenovirus, adeno-associated

virus papovavirus, vaccinia virus, herpes virus, lentiviruses and retroviruses. Disabled virus vectors may be produced in helper cell lines in which genes required for production of infectious viral particles are expressed. Suitable helper cell lines are well known to those skilled in the art. By way of example, see: Fallaux, F.J., et al., (1996) *Hum Gene Ther* 7(2), 215-222; Willenbrink, W., et al., (1994) *J Virol* 68(12), 8413-8417; Cosset, F.L., et al., (1993) *Virology* 193(1), 385-395; Highkin, M.K., et al., (1991) *Poult Sci* 70(4), 970-981; Dougherty, J.P., et al., (1989) *J Virol* 63(7), 3209-3212; Salmons, B., et al., (1989) *Biochem Biophys Res Commun* 159(3), 1191-1198; Sorge, J., et al., (1984) *Mol Cell Biol* 4(9), 1730-1737; Wang, S., et al., (1997) *Gene Ther* 4(11), 1132-1141; Moore, K.W., et al., (1990) *Science* 248(4960), 1230-1234; Reiss, C.S., et al., (1987) *J Immunol* 139(3), 711-714. Helper cell lines are generally missing a sequence which is recognised by the mechanism which packages the viral genome. They produce virions which contain no nucleic acid. A viral vector which contains an intact packaging signal along with the gene or other sequence to be delivered (e.g. *Nurrl*) is packaged in the helper cells into infectious virion particles, which may then be used for gene delivery to stem cells, or neural stem, precursor or progenitor cells.

In a further aspect, the present invention provides a method of inducing a specific neuronal fate in a stem, neural stem or progenitor or precursor cell, wherein the stem cell or progenitor cell expresses *Nurrl* above basal levels, the method including contacting the cells with a Wnt ligand and optionally one or more factors supplied by or derived from a Type 1 astrocyte/glia cell. The factor or factors may be provided by co-culturing or contacting the stem, progenitor or precursor cell with a Type 1 astrocyte/glia cell. The method

may occur *in vitro* or *in vivo*. The stem cell or neural stem, precursor or progenitor cells expressing *Nurr1* above basal levels may be produced by transformation of the cells with *Nurr1*.

5

The factor or factors may be supplied by or derived from an immortalized astrocyte/glial cell. The factor or factors may be supplied by or derived from a glial cell line, e.g. an astrocyte mesencephalic cell line. Cell lines provide a

10 homogenous cell population.

Important aspects of the present invention are based on the finding that, whereas dopaminergic neurons can be generated from stem cells or progenitor or precursor cells *in vitro* by a

15

process including expression of *Nurr1* above basal levels in the cells and contact of the cells with one or more factors supplied by or derived from Type 1 astrocytes/early glial cells of the ventral mesencephalon, induction of dopaminergic fate is enhanced or promoted by contact with a Wnt ligand.

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The present invention allows for generation of large numbers of dopaminergic neurons. These dopaminergic neurons may be used as source material to replace cells which are damaged or lost in Parkinson's disease.

25

Preferably, the cell expressing *Nurr1* above basal levels is mitotic when it is contacted with Wnt ligand.

30

In inducing a dopaminergic fate in a stem cell, or neural stem, progenitor or precursor cell, the cell may additionally be contacted with one or more agents selected from: basic fibroblast growth factor (bFGF); epidermal growth factor (EGF); and an activator of the retinoid X receptor (RXR), e.g.

the synthetic retinoid analog SR11237, (Gendimenico, G. J., et al., (1994) J Invest Dermatol 102(5), 676-80), 9-cis retinol or docosahexanoic acid (DHA) or LG849 (Mata de Urquiza et al., 2000). Treating cells in accordance with the invention with  
5 one or more of these agents may be used to increase the proportion of the stem, progenitor or precursor cells which adopt a dopaminergic fate, as demonstrated experimentally below. The method of inducing a dopaminergic fate in accordance with the present invention may include contacting  
10 the stem cell, or neural stem, progenitor or precursor cell with a member of the FGF family of growth factors, e.g. FGF4, FGF8 or FGF20.

Advantageously, the cells may be contacted with two or more of  
15 the above agents. The inventors have unexpectedly found that the beneficial effects of bFGF or EGF and SR11237 are additive at saturating doses. This finding suggests that these agents may act through different mechanisms.

20 The method of inducing a dopaminergic phenotype may include pretreating the stem cell, neural stem cell or neural progenitor or precursor cell with bFGF and/or EGF prior to contacting it with Wnt ligand and optionally one or more further factors supplied by or derived from Type 1  
25 astrocytes/glia cells of the ventral mesencephalon, e.g. prior to contacting or co-culturing it with ventral mesencephalic Type 1 astrocytes/glia cells or factors derived from them.

30 The optional pretreatment step arises from two further unexpected findings of the inventors that were previously reported in WO00/66713 and Wagner et al. (1999): (i) that neural stem cell lines expressing *Nurr1* above basal levels and

showing high proliferation demonstrate enhanced induction to dopaminergic fate when co-cultured with Type 1 astrocytes/glia cells of the ventral mesencephalon; and (ii) that after treatment with bFGF or EGF in serum-free medium (SFM), the baseline proliferation of most stem cell lines expressing *Nurr1* above basal levels remained elevated after passage into SFM alone.

The method of inducing a dopaminergic phenotype may include pretreating a stem cell or neural stem, progenitor or precursor cell with a member of the FGF family of growth factors, e.g. FGF4, FGF8 or FGF20.

A method according to the invention in which a neuronal fate is induced in a stem, neural stem or progenitor or precursor cell may include detecting a marker for the neuronal fate.  $\beta$ -tubulin III (*TuJ1*) is one marker of the neuronal fate (Menezes, J. R., et al., (1994) *J Neurosci* 14(9), 5399-5416). Other neuronal markers include neurofilament and MAP2. If a particular neuronal phenotype is induced, the marker should be specific for that phenotype. For the dopaminergic fate, expression of tyrosine hydroxylase (TH), dopamine transporter (DAT) and dopamine receptors may be detected e.g. by immunoreactivity or *in situ* hybridization. Contents and/or release of dopamine and metabolites may be detected e.g. by High Pressure Liquid Chromatography (HPLC) (Cooper, J. R., et al., *The Biochemical Basis of Neuropharmacology*, 7th Edition, (1996) Oxford University Press). The absence of Dopamine  $\beta$  hydroxylase and GABA or GAD (in the presence of TH/dopamine/DAT) is also indicative of dopaminergic fate. Additional markers include Aldehyde dehydrogenase type 2 (ADH-2), *Lmx1b* and *Ptx3*.

Detection of a marker may be carried out according to any method known to those skilled in the art. The detection method may employ a specific binding member capable of binding to a nucleic acid sequence encoding the marker, the specific  
5 binding member comprising a nucleic acid probe hybridisable with the sequence, or an immunoglobulin/antibody domain with specificity for the nucleic acid sequence or the polypeptide encoded by it, the specific binding member being labelled so that binding of the specific binding member to the sequence or  
10 polypeptide is detectable. A "specific binding member" has a particular specificity for the marker and in normal conditions binds to the marker in preference to other species. Alternatively, where the marker is a specific mRNA, it may be detected by binding to specific oligonucleotide primers and  
15 amplification in e.g. the polymerase chain reaction.

Nucleic acid probes and primers may hybridize with the marker under stringent conditions. Suitable conditions include, e.g. for detection of marker sequences that are about 80-90%  
20 identical, hybridization overnight at 42C in 0.25M Na<sub>2</sub>HPO<sub>4</sub>, pH 7.2, 6.5% SDS, 10% dextran sulfate and a final wash at 55C in 0.1X SSC, 0.1% SDS. For detection of marker sequences that are greater than about 90% identical, suitable conditions include hybridization overnight at 65C in 0.25M Na<sub>2</sub>HPO<sub>4</sub>, pH  
25 7.2, 6.5% SDS, 10% dextran sulfate and a final wash at 60C in 0.1X SSC, 0.1% SDS.

In a further aspect, the present invention provides a neuron produced in accordance with any one of the methods disclosed  
30 herein. The neuron may have a primitive neuronal phenotype. It may be capable of giving rise to a plurality of distinct neuronal phenotypes. The neuron may have a particular neuronal phenotype, the phenotype being influenced by the Wnt



ligand and/or the type of astrocytes/glial cells from which the factor or factors which contacted the stem, neural stem, progenitor or precursor cell expressing *Nurr1* above basal levels were supplied or derived, or by the type of

- 5 astrocyte/glial cell with which the stem, progenitor or precursor cell was co-cultured or contacted. In preferred embodiments, the neuron has a dopaminergic phenotype.

The neuron may contain nucleic acid encoding a molecule with  
10 neuroprotective or neuroregenerative properties operably linked to a promoter which is capable of driving expression of the molecule in the neuron. The promoter may be an inducible promoter, e.g. the TetON chimeric promoter, so that any damaging over-expression may be prevented. The promoter may  
15 be associated with a specific neuronal phenotype, e.g. the TH promoter or the *Nurr1* promoter.

The encoded molecule may be such that its expression renders the neuron independent of its environment, i.e. such that its  
20 survival is not dependent on the presence of one or more factors or conditions in e.g. the neural environment into which it is to be implanted. By way of example, the neuron may contain nucleic acid encoding one or more of the neuroprotective or neuroregenerative molecules described below  
25 operably linked to a promoter which is capable of driving expression of the molecule in the neuron.

In addition or alternatively, expression of the encoded molecule may function in neuroprotection or neuroregeneration  
30 of the cellular environment surrounding that neuron. In this way, the neuron may be used in a combined cell and gene therapy approach to deliver molecules with neuroprotective and neuroregenerative properties.

Examples of molecules with neuroprotective and neuroregenerative properties include:

5 (i) neurotropic factors able to compensate for and prevent neurodegeneration. One example is glial derived neurotrophic growth factor (GDNF) which is a potent neural survival factor, promotes sprouting from dopaminergic neurons and increases tyrosine hydroxylase expression (Tomac, et al., (1995) Nature,  
10 373, 335-339; Arenas, et al., (1995) Neuron, 15,1465-1473). By enhancing axonal elongation GDNF, GDNF may increase the ability of the neurons to innervate their local environment. Other neurotropic molecules of the GDNF family include Neurturin, Persephin and Artemin. Neurotropic molecules of  
15 the neurotrophin family include nerve growth factor (NGF), brain derived neurotrophic factor (BDNF), and neurotrophin-3, -4/5 and -6. Other factors with neurotrophic activity include members of the FGF family for instance FGF2, 4, 8 and 20, and members of the Wnt family, including Wnt-1, -2, -5a, -3a and  
20 7a.

(ii) antiapoptotic molecules. Bcl2 which plays a central role in cell death. Over-expression of Bcl2 protects neurons from naturally occurring cell death and ischemia (Martinou, et  
25 al., (1994) Neuron, 1017-1030). Another antiapoptotic molecule is BclX-L.

(iii) axon regenerating and/or elongating and/or guiding molecules which assist the neuron in innervating and forming  
30 connections with its environment, e.g. ephrins. Ephrins define a class of membrane-bound ligands capable of activating tyrosine kinase receptors. Ephrins have been implicated in neural development (Irving, et al., (1996) Dev. Biol., 173,

26-38; Krull, et al., (1997) *Curr. Biol.* 7, 571-580; Frisen, et al., (1998) *Neuron*, 20, 235-243; Gao, et al., (1996) *PNAS*, 93, 11161-11166; Torres, et al., (1998) *Neuron*, 21, 1453-1463; Winslow, et al., (1995) *Neuron*, 14, 973-981; Yue, et al.,  
 5 (1999) *J Neurosci* 19(6), 2090-2101.

(iv) transcription factors, e.g. the homeobox domain protein Ptx3 (Smidt, M. P., et al., (1997) *Proc Natl Acad Sci USA*, 94(24), 13305-13310), Lmx1b, Pax2, Pax5, Pax8, or engrailed 1  
 10 or 2 (Wurst and Bally-Cuif, 2001; Rhinn and Brand, 2001).

A neuron in accordance with or for use in the present invention may be substantially free from one or more other cell types, e.g. from stem, neural stem, precursor or  
 15 progenitor cells. Neurons may be separated from neural stem or progenitor cells using any technique known to those skilled in the art. By way of example, antibodies against extracellular regions of molecules found on stem, neural stem, precursor or progenitor cells but not on neurons may be  
 20 employed. Such molecules include Notch 1 and the glial cell line derived neurotrophic factor receptor GFR  $\alpha 2$ . Stem cells bound to antibodies may be lysed by exposure to complement, or separated by, e.g. magnetic sorting (Johansson, et al., (1999) *Cell*, 96, 25-34). If antibodies which are xenogeneic to the  
 25 intended recipient of the neurons are used, then any e.g. stem, neural stem or progenitor or precursor cells which escape such a cell sorting procedure are labelled with xenogeneic antibodies and are prime targets for the recipient's immune system.

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The present invention further provides in various aspects and embodiments the use of an agent selected from a Wnt ligand, or nucleic acid encoding a Wnt ligand, or a synthetic Wnt ligand

analogue, or a protein, nucleic acid or synthetic antagonist that inhibits or blocks the Wnt-inhibitory activities of soluble frizzled related proteins or dikkopfs or WIF, or a protein, nucleic acid or synthetic drug working to inhibit, block, enhance, switch or modulate one or more signalling components downstream of Wnts, in therapeutic methods comprising administering the Wnt ligand or encoding nucleic acid or other said agent to an individual to induce, promote or enhance dopaminergic neuron development in the brain by acting on either endogenous or on exogenously supplied stem, progenitor or precursor cells, and/or to inhibit or prevent loss or promote the survival or phenotypic differentiation or maturation, or neuritogenesis or synaptogenesis, or functional output, of dopaminergic neurons, e.g. in treatment of an individual with a Parkinsonian syndrome or Parkinson's disease. A Wnt ligand or encoding nucleic acid or other said agent may be administered in any suitable composition, e.g. comprising a pharmaceutically acceptable excipient or carrier, and may be used in the manufacture of a medicament for treatment of a neurodegenerative disorder, Parkinsonian syndrome or Parkinson's disease. A Wnt ligand or encoding nucleic acid may be administered to or targeted to the central nervous system and/or brain.

The present invention extends in various aspects not only to a neuron produced in accordance with any one of the methods disclosed herein, but also a pharmaceutical composition, medicament, drug or other composition comprising such a neuron, stem, progenitor or precursor cell and/or a Wnt ligand, use of such a neuron, stem, progenitor or precursor cell and/or Wnt ligand or composition in a method of medical treatment, a method comprising administration of such a neuron, stem, progenitor, precursor and/or Wnt ligand or

composition to a patient, e.g. for treatment (which may include preventative treatment) of Parkinson's disease or other (e.g. neurodegenerative) diseases, use of such a neuron or cell and/or Wnt ligand in the manufacture of a composition for administration, e.g. for treatment of Parkinson's disease or other (e.g. neurodegenerative diseases), and a method of making a pharmaceutical composition comprising admixing such a neuron or cell and/or Wnt ligand with a pharmaceutically acceptable excipient, vehicle or carrier, and optionally one or more other ingredients, e.g. a neuroprotective molecule, a neuroregenerative molecule, a retinoid, growth factor, astrocyte/glial cell, anti-apoptotic factor, or factor that regulates gene expression in stem, progenitor or precursor cells or in the host brain. Such optional ingredients may render the neuron independent of its environment, i.e. such that its survival is not dependent on the presence of one or more factors or conditions in its environment. By way of example, the method of making a pharmaceutical composition may include admixing the neuron with one or more factors found in the developing ventral mesencephalon. The neuron may be admixed with GDNF and/or neurturin (NTN).

The present invention provides a composition containing a neuron, stem, progenitor or precursor cell produced in accordance with the invention and/or a Wnt ligand, and one or more additional components. Pharmaceutical compositions according to the present invention, and for use in accordance with the present invention, may comprise, in addition to the neuron or cell, a pharmaceutically acceptable excipient, carrier, buffer, preservative, stabiliser, anti-oxidant or other material well known to those skilled in the art. Such materials should be non-toxic and should not interfere with the activity of the neuron. The precise nature of the carrier

or other material will depend on the route of administration. The composition may include one or more of a neuroprotective molecule, a neuroregenerative molecule, a retinoid, growth factor, astrocyte/glial cell, or factor that regulates gene expression in stem, neural stem, precursor or progenitor cells. Such substances may render the neuron independent of its environment as discussed above.

Liquid pharmaceutical compositions generally include a liquid carrier such as water, petroleum, animal or vegetable oils, mineral oil or synthetic oil. Physiological saline solution, dextrose or other saccharide solution or glycols such as ethylene glycol, propylene glycol or polyethylene glycol may be included.

The composition may be in the form of a parenterally acceptable aqueous solution, which is pyrogen-free and has suitable pH, isotonicity and stability. Those of relevant skill in the art are well able to prepare suitable solutions using, for example, isotonic vehicles such as Sodium Chloride, Ringer's Injection, or Lactated Ringer's Injection. A composition may be prepared using artificial cerebrospinal fluid.

The present invention extends to the use of a neuron produced in accordance with the invention and/or a Wnt ligand in a method of medical treatment, particularly the treatment of a medical condition associated with damage to, the loss of, or a disorder in neuronal cells. Moreover, the invention may provide the use of a neuron of a specific phenotype and/or a Wnt ligand in the treatment of a condition, disease or disorder, which is associated with damage to, or the loss of neurons of that phenotype. More particularly, the invention

provides the use of a dopaminergic neuron and/or a Wnt ligand in the treatment of human Parkinson's disease. While the invention particularly relates to materials and methods for treatment of neurodegenerative diseases (e.g. Parkinson's disease), it is not limited thereto. By way of example, the invention extends to the treatment of damage to the spinal cord and/or cerebral cortex.

In methods of treatment in which the administered cell is a stem, progenitor or precursor that is capable of giving rise to two or more distinct neuronal phenotypes, the neuron, cell and/or Wnt ligand or composition may be introduced into a region containing astrocytes/glia cells which direct the differentiation of the cell to a desired specific neuronal fate. The cell and/or Wnt ligand or composition may for example be injected into the ventral mesencephalon where it may interact with Type 1 astrocytes/glia cells and be induced to adopt a dopaminergic phenotype. Alternatively or in addition, an implanted composition may contain a neuron or cell in combination with one or more factors which direct its development toward a specific neuronal fate as discussed above, e.g. with a Type 1 astrocyte/glia cell.

Cells may be implanted into a patient by any technique known in the art (e.g. Lindvall, O., (1998) Mov. Disord. 13, Suppl. 1:83-7; Freed, C.R., et al., (1997) Cell Transplant, 6, 201-202; Kordower, et al., (1995) New England Journal of Medicine, 332, 1118-1124; Freed, C.R., (1992) New England Journal of Medicine, 327, 1549-1555).

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Administration of a composition in accordance with the present invention is preferably in a "prophylactically effective amount" or a "therapeutically effective amount" (as the case

may be, although prophylaxis may be considered therapy), this being sufficient to show benefit to the individual. The actual amount administered, and rate and time-course of administration, will depend on the nature and severity of what is being treated. Prescription of treatment, e.g. decisions on dosage etc, is within the responsibility of general practitioners and other medical doctors.

A composition may be administered alone or in combination with other treatments, either simultaneously or sequentially dependent upon the condition to be treated.

The methods provided herein for inducing a neuronal fate in stem, neural stem or progenitor or precursor cell may be carried out using primary cells *in vivo* or *in vitro* or cell lines as a source material. The advantage of cells expanded *in vitro* is that there is virtually no limitation on the number of neurons which may be produced.

In order to ameliorate possible disadvantages associated with immunological rejection of transplanted cells, stem or progenitor or precursor cells may be isolated from a patient and induced to the desired phenotype. Cells may then be transplanted to the patient. Advantageously, isolated stem or progenitor or precursor cells may be used to establish cell lines so that large numbers of immunocompatible neuronal cells may be produced. A further option is to establish a bank of cells covering a range of immunological compatibilities from which an appropriate choice can be made for an individual patient. Stem, neural stem, precursor or progenitor cells derived from one individual may be altered to ameliorate rejection when they or their progeny are introduced into a second individual. By way of example, one or more MHC alleles



in a donor cell may be replaced with those of a recipient, e.g. by homologous recombination.

If cells derived from a cell line carrying an immortalizing  
5 oncogene are used for implantation into a patient, the oncogene may be removed using the CRE-loxP system prior to implantation of the cells into a patient (Westerman, K. A. et al Proc. Natl. Acad Sci. USA 93, 8971 (1996)). An immortalizing oncogene which is inactive at the body  
10 temperature of the patient may be used.

In a further aspect the present invention extends to the use of a cell or neuron produced in accordance with the invention in a method of screening for an agent for use in the treatment  
15 of a neurodegenerative disease. The neuron may be a dopaminergic neuron. The neurodegenerative disease may be a Parkinsonian syndrome or Parkinson's disease. The agent may be a neuroprotective and/or neuroregenerative molecule and/or a developmental soluble signal and/or a factor or factors  
20 derived from ventral mesencephalic type one astrocytes or glial cells. The method may be carried out *in vitro* or *in vivo*.

The method may include:

- 25 (i) treating a neuron of the invention with a toxin for said neuron;  
(ii) separating the neuron from the toxin;  
(iii) bringing the treated neuron into contact with a test agent or test agents;  
30 (iv) determining the ability of the neuron to recover from the toxin;  
(v) comparing said ability of the neuron to recover from the toxin with the ability of the or an identical neuron to

recover from the toxin in the absence of contact with the test agent(s).

The method may include:

- 5 (i) treating a neuron of the invention with a toxin for the neuron in the presence of a test agent or test agents;
- (ii) determining the ability of the neuron to tolerate the toxin;
- (iii) comparing said ability of the neuron to tolerate the
- 10 toxin with the ability of the or an identical neuron to tolerate the toxin in the absence of contact with the test agent(s).

The toxin may be 6-hydroxydopamine, 5,7-dihydroxytryptamine or  
15 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP). The ability of the neuron to recover from or tolerate the toxin may be determined by any method known to those skilled in the art, for example by monitoring cell viability, (e.g. by cell counting, e.g. by the TUNEL technique), by monitoring  
20 morphology, (e.g. sprouting, axonal elongation and/or branching), and/or by monitoring biochemistry, (e.g. TH activity, e.g. neurotransmitter uptake/release/content).

Stem, neural stem, precursor or progenitor cells which may be  
25 used in the present invention include C17.2 (Snyder, E. Y. et al. Cell 68, 33-51 (1992)) and the H6 human cell line (Flax et al. Nature Biotech 16 (1998)). Further examples are listed in Gage, F.H. et al. Ann. Rev. Neurosci. 18, 159-192 (1995) and Gotlieb, (2002).

30 While the present discussion has been made with reference to neural stem cells or neural progenitor or precursor cells, the methods provided herein may be applied to the induction of

neuronal fates in other stem, progenitor or precursor cells. Examples of such cells include stem cells associated with non-neural systems. The methods may be applied to stromal or hematopoietic stem cells and/or proliferative cells from the epidermis. Hematopoietic cells may be collected from blood or bone marrow biopsy. Stromal cells may be collected from bone marrow biopsy. Epithelial cells may be collected by skin biopsy or by scraping e.g. the oral mucosa. Since a neuronal phenotype is not a physiological *in vivo* fate of these stem, progenitor or precursor cells, the inductive process may be referred to as trans-differentiation, or de-differentiation and neural re-differentiation. A method of inducing such cells to a neuronal fate may include the use of antisense regulators to genes associated with non-neuronal phenotypes, i.e. to suppress and/or reverse the differentiation of these cells toward non-neuronal fates.

The methods of the present invention may be applied to stem cells not committed to a neural fate. They may be applied to stem cells which are capable of giving rise to two or more daughter stem cells associated with different developmental systems. Examples of daughter stem cells are hematopoietic stem cells, proliferative cells from the epidermis, and neural stem cells.

25

As discussed above, the present disclosure demonstrates that dopaminergic neurons can be generated from stem or progenitor or precursor cells by a process requiring expression of *Nurr1* above basal levels in combination with Wnt ligand and/or one or more factors derived from ventral mesencephalic type 1 astrocytes or glial cells.

30

In various further aspects the present invention is concerned

with provision of assays and methods of screening for a factor or factors which enhance induction of a dopaminergic fate in a neural stem or progenitor or precursor cell expressing *Nurr1* above basal levels and treated with Wnt ligand, and with a factor or factors identified thereby.

The invention provides a method of screening for a factor or factors able, either alone or in combination, to enhance, increase or potentiate induction of a dopaminergic fate in a stem, neural stem or progenitor or precursor cell expressing *Nurr1* above basal levels in the presence of Wnt ligand. A further aspect of the present invention provides the use of a stem, neural stem or progenitor or precursor cell expressing *Nurr1* above basal levels and in the presence of Wnt ligand in screening or searching for and/or obtaining/identifying a factor or factors which enhance induction of a dopaminergic fate in such a stem or progenitor or precursor cell.

A method of screening may include:

- (a) bringing a test substance into contact with a stem, neural stem or progenitor or precursor cell expressing *Nurr1* above basal levels in the presence of Wnt ligand, which contact may result in interaction between the test substance and the cell; and
- (b) determining interaction between the test substance and the cell.

A method of screening may include bringing a test substance into contact with a membrane fraction, soluble fraction or nuclear fraction derived from a stem, neural stem or progenitor or precursor cell expressing *Nurr1* above basal levels in the presence of Wnt ligand and determining interaction between the test substance and the fraction. The

preparation of these fractions is well within the capabilities of those skilled in the art.

5 Binding or interaction may be determined by any number of techniques known in the art, qualitative or quantitative. Interaction between the test substance and the stem or progenitor cell may be studied by labeling either one with a detectable label and bringing it into contact with the other which may have been immobilised on a solid support, e.g. by 10 using an antibody bound to a solid support, or via other technologies which are known *per se*.

A screening method may include culturing a stem, neural stem or progenitor or precursor cell in the presence of a test 15 substance or test substances and analyzing the cell for differentiation to a dopaminergic phenotype, e.g. by detecting a marker of the dopaminergic phenotype as discussed herein. Tyrosine hydroxylase (TH) is one marker of the dopaminergic phenotype.

20 Any of the substances screened in accordance with by the present invention may be a natural or synthetic chemical compound.

25 A screening method may include comparing Type 1 astrocytes or early glial cells of the ventral mesencephalon with neural cells (e.g. astrocytes) which are unable to induce a dopaminergic fate in stem, neural stem or progenitor or precursor cells expressing *Nurr1* above basal levels in the 30 presence of Wnt ligand. The comparison may for example be between Type 1 astrocytes or early glial cells during development of the ventral mesencephalon and Type 1 astrocytes or early glia from other neural locations.

A screening method involving astrocytes or early glial cells may employ immortalized astrocytes or immortalized glial cells. It may involve astrocyte cell lines or glial cell lines, e.g. astrocyte or glial mesencephalic cell lines. Such cell lines provide a homogenous cell population.

A screening method may employ any known method for analyzing a phenotypic difference between cells and may be at the DNA, mRNA, cDNA or polypeptide level. Differential screening and gene screening are two such techniques. A substance identified by any of the methods of screening described herein may be used as a test substance in any of the other screening methods described herein.

15

A screening method may employ a nucleic expression array, e.g. a mouse cDNA expression array. In this approach, an array of different nucleic acid molecules is arranged on a filter, quartz or another surface, e.g. by cross-linking the nucleic acid to the filter. A test solution or extract is obtained and the nucleic acid within it is labeled, e.g. by fluorescence. The solution or extract is then applied to the filter or genechip. Hybridisation of the test nucleic acid to nucleic acid on the filter or genechip is determined and compared to the hybridisation achieved with a control solution. A difference between the hybridisation obtained with the test and control samples is indicative of a different nucleic acid content. For further information on nucleic acid arrays, see Clontech website (e.g. [www.clontech.com](http://www.clontech.com)) or Affymetrix website (e.g. [www.affymetrix.com](http://www.affymetrix.com)), findable using any available web browser.

A screening method may include comparing stem or progenitor or

precursor or parental cells with stem or progenitor or precursor cells which express *Nurr1* above basal levels in the presence of Wnt ligand, e.g. to identify target genes of *Nurr1* and/or a factor or factors which enhance induction of a  
5 dopaminergic fate in stem, neural stem, precursor or progenitor cells expressing *Nurr1* above basal levels in the presence of Wnt ligand. Once the target gene(s) and/or factor(s) have been identified they may be isolated and/or purified and/or cloned and used in further methods.

10

A screening method may include purifying and/or isolating a substance or substances from a mixture. The method may include determining the ability of one or more fractions of the mixture to interact with a stem cell, neural stem cell or  
15 neural progenitor or precursor cell expressing *Nurr1* above basal levels in the presence of Wnt ligand, e.g. the ability to bind to and/or enhance induction of a dopaminergic fate in such a stem, neural stem, precursor or progenitor cell. The purifying and/or isolating may employ any method known to  
20 those skilled in the art.

25

A screening method may employ an inducible promoter operably linked to nucleic acid encoding a test substance. Such a construct is incorporated into a host cell and one or more  
25 properties of that cell under the permissive and non-permissive conditions of the promoter are determined and compared. The property determined may be the ability of the host cell to induce a dopaminergic phenotype in a stem, neural stem, precursor or progenitor cell expressing *Nurr1* above  
30 basal levels in the presence of Wnt ligand. A difference in that ability of the host cell between the permissive and non-permissive conditions indicates that the test substance may be able, either alone or in combination, to enhance induction of

a dopaminergic fate in a stem, neural stem or progenitor or precursor cell expressing *Nurr1* above basal levels in the presence of Wnt ligand.

- 5 The precise format of any of the screening methods of the present invention may be varied by those of skill in the art using routine skill and knowledge.

- A factor or factors identified by any one of the methods  
10. provided by the invention may be isolated and/or purified and/or further investigated. It may be manufactured.

- In various further aspects, the invention further provides a factor identified by any one of the methods disclosed herein,  
15 a pharmaceutical composition, medicament, drug or other composition comprising such a factor (which composition may include a stem, neural stem or progenitor or precursor cell or neuron expressing *Nurr1* above basal levels and Wnt ligand), use of such a factor to enhance induction and/or phenotypic  
20 differentiation or maturation and/or survival and/or neuritogenesis and/or synaptogenesis and/or functional output of dopaminergic neurons derived from stem, neural stem or progenitor or precursor cells expressing *Nurr1* above basal levels in the presence of Wnt ligand, use of such a factor or  
25 composition in a method of medical treatment, a method comprising administration of such a factor or composition to a patient, e.g. for treatment (which may include preventative treatment) of a medical condition associated with damage to, loss of, or a disorder in dopaminergic neurons, e.g. for  
30 treatment of Parkinson's disease or another neurodegenerative disease, use of such a factor in the manufacture of a composition, medicament or drug for administration, e.g. for treatment of Parkinson's disease or other (e.g.



neurodegenerative diseases), and a method of making a pharmaceutical composition comprising admixing such a factor with a pharmaceutically acceptable excipient, vehicle or carrier, and optionally other ingredients.

5

In a related aspect, the present invention provides a method of screening for a substance which modulates the ability of a Wnt ligand to induce a dopaminergic fate in stem, neural stem, precursor or progenitor cells expressing *Nurr1* above basal  
10 levels.

Such a method may include one or more of:

- (i) providing stem, neural stem or progenitor or precursor cells which express *Nurr1* above basal levels in the presence  
15 of a Wnt ligand and one or more test substances;
- (ii) analysing the proportion of such cells which adopt a dopaminergic fate;
- (iii) comparing the proportion of such cells which adopt a dopaminergic fate with the number of such cells which adopt a  
20 dopaminergic fate in comparable reaction medium and conditions in the absence of the test substance or test substances. A difference in the proportion of dopaminergic neurons between the treated and untreated cells is indicative of a modulating effect of the relevant test substance or test substances.

25 Such a method of screening may include:

- (i) providing stem, neural stem or progenitor or precursor cells which express *Nurr1* above basal levels in the presence of Wnt ligand and one or more test substances;
- (ii) analysing the proportion of stem or progenitor or  
30 precursor cells which adopt a dopaminergic fate;
- (iii) comparing the proportion of stem or progenitor or precursor cells which adopt a dopaminergic fate with the number of stem or progenitor cells which adopt a dopaminergic

fate in comparable reaction conditions in the absence of the test substance or test substances.

Such a method of screening may include:

- (i) bringing stem, neural stem, precursor or progenitor cells  
5 which express *Nurr1* above basal levels into contact with a Wnt ligand in the presence of one or more test substances;
- (ii) analysing the proportion of stem, neural stem, precursor or progenitor cells which adopt a dopaminergic fate;
- (iii) comparing the proportion of stem, neural stem, precursor  
10 or progenitor cells which adopt a dopaminergic fate with the number of stem or progenitor cells which adopt a dopaminergic fate in comparable reaction conditions in the absence of the test substance or test substances.

- 15 Such screening methods may be carried out on cells *in vivo* in comparable or identical non-human animals, or *in vitro* or in culture.

Following identification of a substance which modulates  
20 inductive activity, the substance may be investigated further. It may be manufactured and/or used in the preparation, i.e. manufacture or formulation, of a composition such as a medicament, pharmaceutical composition or drug. Any of substance tested for its modulating activity may be a natural  
25 or synthetic chemical compound.

Aspects and embodiments of the present invention will now be illustrated, by way of example, with reference to the accompanying figures. Further aspects and embodiments will be  
30 apparent to those skilled in the art. All documents mentioned in this specification are incorporated herein by reference.

### Brief Description of the Figures

Figure 1 shows that Wnt ligands are differentially expressed in the developing midbrain.

- 5 Figure 1a shows results of real time PCR analysis that revealed that Wnt-1 is expressed at high levels in the ventral and the dorsal midbrain, while Wnt-3a expression predominates in the dorsal midbrain and Wnt-5a in the ventral midbrain. Figure 1b, Figure 1c, Figure 1d and Figure 1e, show results of  
10 *in situ* hybridization that showed that within the ventral midbrain, the domains of Wnt-1 (Figure 1d) and Wnt-5a expression (Figure 1e) coincides with those of Nurrl (Figure 1c) and Tyrosine hydroxylase (TH) (Figure 1b), that label dopaminergic precursors and dopaminergic neurons. Figure 1f  
15 shows results of *in situ* hybridization demonstrating that Type 1 astrocytes isolated from postnatal day 1 ventral midbrain (VM) express significantly higher levels of Wnt-5a mRNA than dorsal midbrain (DM) or cerebral cortex (CC). \* $p < 0.05$ ; \*\* $p < 0.001$ ; \*\*\* $p < 0.0001$  compared to E10.5 for every brain  
20 region by one-way ANOVA with Fisher's *post hoc* test.

- Figure 2 shows that Wnt-1 and Wnt-5a, but not Wnt-3a, increased the number of dopaminergic neurons (Figure 2a, Figure 2b and Figure 2c) and proliferating clusters containing  
25 dopaminergic neurons (Figure 2e and Figure 2f) in rat E14.5 ventral mesencephalic (VM) cultures. Figure 2a and Figure 2e show dose dependency; Figure 2b and Figure 2f show time course analysis and Figure 2c shows comparison of the effect of Wnt ligands with control (N2), glial cell line derived  
30 neurotrophic factor (GDNF), fibroblast growth factor2 and 8 (FGF-2 and -8), VM type 1 astrocytes (T1A) and control purified media (CP). The results indicate that the partially purified Wnts are active, stable and can be as efficient as VM

T1A at increasing the number of dopaminergic neurons in culture. \*, \*\* $p < 0.01$  compared to N2 and CP, respectively; by one-way ANOVA with Fisher's *post hoc* test. Tyrosine hydroxylase (TH) immunostained cultures, showed that Wnt-1 and Wnt-5a induced a very dramatic increase in the number of dopaminergic neurons outside or within dopaminergic clusters.

Figure 3 shows that Wnt-1 increased the proliferation of precursors and the number of neurons, but unlike Wnt-5a, did not increase the proportion of dopaminergic neurons. Figure 3a shows that Wnt-1, but not Wnt-5a increased the expression of cyclin D1 mRNA. Figure 3b shows that Wnt-1 and Wnt-3a induced a 3-fold increase in the proportion of Nurrl-immunostained cells that incorporated BrdU, while Wnt-5a and conditioned media from ventral mesencephalic type 1 astrocytes (VM T1A) increased BrdU incorporation in Nurrl+ cells to a lesser extent. Figure 3d shows that Wnt-1 and Wnt-3a increased the number of proliferating clusters that contained Tuj1-positive neurons. Figure 3e shows that the proportion of dopaminergic neurons in proliferating clusters containing neurons did not change after Wnt-1 treatment, decreased by Wnt-3a, and was increased by treatment with Wnt-5a and VM T1A. Figure 3f shows that only Wnt-1 increased the number of individual Tuj1-positive neurons outside proliferating clusters. Figure 3g shows that despite the increase in the number of neurons outside the clusters, Wnt-1 did not increase the proportion of dopaminergic neurons. Instead, those treatments that did not change the numbers of Tuj1-positive neurons, either decreased (Wnt-3a) or increased (Wnt-5a or VM T1A) the proportion of dopaminergic neurons. \* $p < 0.05$ ; \*\* $p < 0.001$ ; \*\*\* $p < 0.0001$  compared to control purified media (CP) by one-way ANOVA with Fisher's *post hoc* test.

Figure 4 shows that Wnt-5a is the most efficient factor at inducing a dopaminergic phenotype in *Nurr1*<sup>+</sup> cells. Figure 4a shows results of double tyrosine hydroxylase (TH) and *Nurr1* immunohistochemistry that revealed that Wnt-5a or VM T1A treatment increased the proportion of *Nurr1* expressing cells in the VM that acquired a dopaminergic phenotype from 50% in control conditions to 90%. Instead, the two most potent factors at inducing proliferation, Wnt-1 and Wnt-3a, were less efficient than Wnt-5a (Wnt-1) or even decreased the proportion of dopaminergic cells from 50% to 30% (Wnt-3a). Figure 4b shows that, similarly, Wnt-5a and VM T1A were the most efficient treatments at promoting the acquisition of a dopaminergic phenotype in *Nurr1*-expressing E13.5 cortical precursor cultures. Wnt-1 had a much lower effect than Wnt-5a and Wnt-3a or cortical type 1 astrocytes (CTX T1A), which did not change the proportion of *Nurr1*<sup>+</sup> cells that expressed TH. \* $p < 0.05$ ; \*\* $p < 0.001$ ; \*\*\* $p < 0.0001$  compared to control purified media (CP) by one-way ANOVA with Fisher's *post hoc* test.

Figure 5 illustrates that Wnt signaling is required for the development of dopaminergic neurons. Figure 5a shows that E 13.5 VM neurospheres expanded with FGF8 differentiated in 5-7 days into glial and neuronal lineages and gave rise to dopaminergic neurons in 12% of the spheres. In contrast, addition of Fz8 CRD to the culture media decreased the number of neurospheres containing dopaminergic neurons, as compared to control (CM). Similarly, treatment of E14.5 VM precursor cultures (Figure 5b, Figure 5c and Figure 5d) with conditioned media from a Fz8 CRD overexpressing fibroblast decreased the proportion of *Nurr1* immunoreactive cells that acquired tyrosine hydroxylase expression in control conditions (CM, Figure 5b), or after treatment with either conditioned media from ventral mesencephalic type 1 astrocytes

(VM T1A, Figure 5c) or Wnt-5a (Figure 5d). \* $p < 0.05$ ;  
 \*\*\* $p < 0.0001$  compared to control (N2) or conditioned media  
 (CM); #  $p < 0.05$ ; ### $p < 0.0001$  compared to VM T1A or Wnt-5a by  
 one-way ANOVA with Fisher's *post hoc* test. Figure 5f, Model of  
 5 the mechanisms by which Wnt-1, -3a and -5a regulate the  
 development of VM dopaminergic neurons. Wnt1, probably derived  
 from the midbrain-hindbrain organizer, controls the  
 proliferation of *Nurr1*-expressing precursors and increases the  
 number of VM neurons. Wnt-5a, which is expressed by VM  
 10 astroglial cells, specifically increases the number of VM  
 dopaminergic neurons by regulating the induction of a  
 dopaminergic phenotype in *Nurr1*-expressing precursors.  
 Finally, Wnt-3a, which is mainly expressed in the dorsal  
 midbrain, enhanced the proliferation of *Nurr1*-expressing  
 15 precursors and decreased the proportion of neurons that  
 acquire a dopaminergic phenotype. Question marks indicate that  
 the precise cell-source of Wnt-1 and Wnt-3a is unknown. Note  
 that the size of the arrows correlates with the intensity of  
 the effects.

20

#### EXPERIMENTAL

Specifically incorporated by reference herein are the  
 experimental results set out in W000/66713 demonstrating  
 25 induction of dopaminergic neurons in stem, neural stem,  
 precursor or progenitor cells expressing *Nurr1*, in the  
 presence of type 1 astrocytes or glial cells, and  
 demonstrating additional results obtained when contacting such  
 cells with additional factors, such as FGF8.

30

In new experiments disclosed in the present text for the first  
 time, the expression of Wnts, *Nurr1* and TH was first examined  
 in the developing ventral midbrain and compared to dorsal  
 midbrain and cerebral cortex from E10.5 to P1. Real time RT-

PCR and ISH showed that tyrosine hydroxylase message was first detected at E11.5 in the ventral midbrain (Figure 1a and Figure 1b), coinciding with the birth of dopaminergic neurons (Foster et al., 1988), which takes place one day after the onset of *Nurr1* expression (Figure 1c). *Wnt-1* and *Wnt-5a* were the *Wnts* with the highest levels of expression in the ventral midbrain, which peaked at E11.5 (Figure 1a, Figure 1d and Figure 1e). A similar peak was detected at E11.5 in the dorsal midbrain for *Wnt-1* and *Wnt-3a*, but not for *Wnt-5a*, consistent with a predominant role of *Wnt-5a* in ventral development (Saneyoshi et al., 2002). Moreover, real time RT-PCR analysis of *Wnt* expression in purified type 1 astrocytes showed that *Wnt-5a*, but not *Wnt-1* or *Wnt-3a*, was expressed in ventral mesencephalic astrocytes and that the levels of expression were significantly higher in ventral mesencephalic than in dorsal midbrain or cerebral cortex astrocytes (Figure 1f).

In addition to *Wnt-1*, *3a* and *5a* mRNA expression, which are expressed at high levels in the developing midbrain, a more detailed analysis performed by real time RTPCR revealed that *Wnt-2* and *-7a* mRNAs are expressed at intermediate levels in the midbrain, and that *Wnt-7b* and *Wnt-16* are expressed at low levels in the midbrain. All of these ligands were expressed at higher levels in the ventral than the dorsal midbrain, suggesting a possible role of *Wnt-2*, *-7a*, *-7b*, and *-16* in ventral midbrain development. We also found that *Wnt-4*, *-6*, *-10b*, and *-11* were expressed at low levels in the midbrain. *Wnt 3*, *13* and *-2b* were expressed at very low levels in the midbrain and *Wnt-5b*, *-8a/d*, *-10a*, and *-15* were detected in the midbrain at background level.

Partially purified conditioned media from stable fibroblast cell lines engineered to secrete *Wnt* ligands (Shimizu et al.,

1997) was generated by size-exclusion-based filtration. After two sequential rounds of purification, an approximate 1500-fold increase in concentration was achieved, as compared to initial CM. Individual lots of partially purified Wnt ligands were normalized to each other based on density of combined Western blot product bands and expressed as arbitrary units, where 1 unit is equivalent to 1  $\mu$ L of normalized partially purified product. Cultures of ventral mesencephala obtained from E14.5 rats were then treated with increasing concentrations of partially purified Wnt-1, -3a, -5a, or control media for 3 days (Figure 2A). Wnt-1 or 5a resulted in robust dose-response increases in TH+ cell numbers, while Wnt-3a produced no effect at doses up to 100 units and control media produced little or no effect up to 50 units ( $\mu$ L), suggesting that increases in TH+ cell number observed with Wnt-1 or -5a treatment were specific to those ligands. Interestingly, the effects of 10 units of Wnt-1 or -5a were detected as early as after 1 day *in vitro*, were maintained for 3 days and started to decay after 7 days (Figure 2B), suggesting that partially purified Wnts are fully stable for at least 3 days and that their effects are maintained throughout one week. Furthermore, these increases in TH+ cell numbers seemed biologically relevant (Figure 2C), as they exceeded increases produced by known dopaminotrophic molecules after 3 days, including GDNF, FGF-2 or FGF-8, and roughly equaled the effects of VM T1A conditioned medium, which contains an inductive signal for *Nurr1*-expressing neural stem cells. Conditioned media from Wnt-2 and Wnt-7a overexpressing fibroblast cell lines gave similar results to Wnt-1 and Wnt-5a partially purified media, and both Wnt-2 and Wnt-7a increased the number of TH+ neurons in E14.5 ventral mesencephalic



precursor cultures, as compared to control fibroblast conditioned media. Thus, our results indicate that members of the Wnt family of glycoproteins regulate very efficiently the number of dopaminergic neurons that develop from progenitor cells. Moreover, our data show that Wnt ligands have overlapping effects with regard to the regulation of TH cell number, suggesting that the function of some of the Wnts may be to some extent redundant.

Wnt-ligand treatment also induced the appearance of large spherical proliferative clusters. These clusters were initially small and increased in size over time in culture. Clusters of TH+ neurons, greater than 5 cell diameters and composed of at least 30% TH+ neurons, were counted at various times *in vitro*. Strikingly, 10 units of Wnt-1 and -5a treatment, but not Wnt-3a, produced a 3-8 fold increase in the number of these clusters observed at 7 days *in vitro* (Figure 2E and Figure 2F). Moreover, the clusters that did appear in response to the Wnt ligands were larger and the vast majority consisted of almost entirely of TH+ neurons. On the other hand, control and Wnt-3a treated cultures generally contained smaller spheres of mixed TH positive and negative cells. Interestingly, virtually all clusters and a significant number of isolated cells in the cultures were BrdU positive after an acute BrdU pulse prior to fixation (Figure 2f). Thus, mitotic spheres could derive from the recruitment of existing dopaminergic precursors into the cell cycle, or from the induction of less committed, still proliferating cells into TH positive cells.

Because Wnts are known to play a fundamental role in controlling cell fate decisions and proliferation in the nervous system, we investigated the possibility that Wnt-

ligands increase the number of TH+ neurons in VM cultures by increasing proliferation and/or inducing a dopaminergic phenotype in precursor cells.

- 5 We first examined by real time RT-PCR whether the expression of cyclin D1, a target of  $\beta$ -catenin (Tetsu and McCormick, 1999; Shtutman et al., 1999) that mediates cell cycle progression by Wnt-1 (Megason and McMahon 2002) was regulated by Wnt-1, -5a and VM-T1A (Figure 3A). While cyclin D2 mRNA was not affected  
10 by any of the treatments, cyclin D1 mRNA was upregulated by Wnt-1, but not by Wnt-5a or VM T1A, suggesting that Wnt-1 regulates cell cycle progression at a transcriptional level.

- We next examined whether Wnts regulated proliferation in VM  
15 precursor cultures and whether *Nurrl*-expressing neuronal precursors in the midbrain (*Nurrl*+/*BrdU*+ cells) were a potential target for Wnt ligands. Double immunocytochemistry to *Nurrl* and *BrdU* at 1 day *in vitro* demonstrated that Wnt-1 and -3a increased mitosis by 3 fold, while Wnt-5a and  
20 conditioned media from VM type 1 astrocytes, which express Wnt-5a and induce a dopaminergic phenotype in *Nurrl*-expressing precursors, increased mitosis by two fold in the *Nurrl*+ population (Figure 3B). This finding, combined with the enhancement of cyclin D1 expression by Wnt-1 indicated that  
25 Wnt-1 mainly enhances the number of TH+ cells by regulating mitosis/proliferation. Surprisingly, Wnt-3a was as efficacious as Wnt-1 at enhancing mitosis in *Nurrl* positive cells, but produced little or no increase in TH positive cell numbers, suggesting that increased mitosis is only one component of Wnt  
30 activity in the ventral mesencephalon. These findings correlate well with the ability of these two ligands to stabilize  $\beta$ -catenin (Shimizu et al., 1997) and with the role of  $\beta$ -catenin in promoting cell cycle reentry in stem cells and

neural precursors (Taipale and Beachy, 2001; Chenn and Walsh, 2002).

Next we asked whether the effects of Wnt ligands resulted in higher numbers of neurons and whether their effects were specific for dopaminergic neurons within or outside proliferating clusters. We found that Wnt-1 or -3a increased the number of proliferating clusters containing TuJ1+ cells by 10-12 fold compared to control, Wnt-5a or VM T1A (Figure 3d). Wnt-1 did not increase the proportion of VM clusters containing dopaminergic neurons (about 30% of the clusters) and Wnt-3a even decreased the proportion of dopaminergic clusters to less than 10% (Figure 3e), suggesting that Wnt-1 and -3a increase the number of all VM neurons by increasing proliferation and the number of proliferating clusters. Wnt-5a and VM T1A did not increase the number of proliferative clusters containing neurons (Figure 3d), but instead selectively enriched for dopaminergic clusters by more than two-fold (Figure 3e), providing indication that Wnt-5a and VM T1A could be involved in instructing a dopaminergic phenotype in proliferating VM clusters. The effects of Wnts on TuJ1+ neurons outside the clusters were very similar to those on neurons within clusters, except for Wnt-3a, that did not increase the number of TuJ1+ neurons (Figure 3f), indicating that Wnt-3a regulates proliferation of precursors within clusters and decreases the proportion of neurons acquiring a dopaminergic phenotype (Figure 3g). Thus, despite the similar effects of Wnt-1, -5a and VM T1A on the number of dopaminergic neurons and cell clusters, our results indicate that Wnt-1 and Wnt-5a may act via two partially distinct mechanisms. While Wnt-1 increases proliferation of cells within neurogenic clusters, but not the proportion of dopaminergic neurons, Wnt-5a and VM T1A work less on proliferation but instead increase

the proportion of dopaminergic neurons within and outside proliferating clusters by an additional mechanism.

Provided that VM T1As are the source of a dopaminergic inductive signal (Wagner et al., 1999), we next examined whether treatment with Wnts or VM T1A influenced the conversion of ventral mesencephalic *Nurr1*<sup>+</sup> neuronal precursors (*Nurr1*<sup>+</sup>/*TH*<sup>-</sup> cells) into dopaminergic neurons (*Nurr1*<sup>+</sup>/*TH*<sup>+</sup> cells). In control conditions, approximately 50% of all *Nurr1* positive cells expressed *TH*, whereas this population increased in response to *Wnt*-1 (70%) and *Wnt*-5a or VM T1A (90%) (Figure 4A). These results were very similar to those obtained with double *ADH*-2/*TH* immunostaining, since *ADH*2 also labeled dopaminergic precursors and neurons. Thus, our data suggested a model in which *Wnt*-5a, unlike *Wnt*-1, predominantly increases the number of dopaminergic neurons by inducing a dopaminergic phenotype in *Nurr1*-expressing precursors. To test this model we examined whether *Nurr1* positive/*TH* negative cells derived from brain structures other than VM could also be induced to acquire a dopaminergic phenotype by either Wnts or VM T1A. E13.5 primary cultures from the cerebral cortex, which are rich in *Nurr1*<sup>+</sup> precursor cells, were exposed to various *Wnt* ligands or co-cultured with P1 VM or cortical astrocytes (as a control). Under *Wnt*-3a treatment or cortical T1A co-culture, virtually no *Nurr1* positive cortical cells co-expressed *TH*. However, *Wnt*-1 *Wnt*-5a, or VM T1A treatment induced a significant increase in the proportion of *Nurr1* positive cells that expressed *TH* (Figure 4B). The most dramatic effect was induced by *Wnt*-5a, which, in only 3 days, increased the number of double *Nurr1*/*TH*<sup>+</sup> cells by > 40 fold compared to control and >4 fold compared to *Wnt*-1, an effect that can not be explained by proliferation alone. Moreover, the finding that *Wnt*-5a promotes the acquisition of a ventral dopaminergic phenotype

correlates well with the previously reported role of Wnt-5a in promoting ventral fates via non-canonical Wnt signaling (Saneyoshi et al., 2002).

5 The finding that the biological activities of Wnt-5a were almost identical to those of VM T1A in all measured parameters (Figure, Figure 2, Figure 3 and Figure 4) and that VM T1A express Wnt-5a (Figure 1F), provided indication that the effects of VM T1A might be due to astrocytic secretion of  
10 Wnts. We therefore examined whether conditioned media from fibroblasts overexpressing the cysteine rich domain of Frizzled-8 (Fz8-CRD), which blocks the effects of Wnts (Hsieh et al., 1999), could block the activity of VM T1A or Wnt-5a on the induction of dopaminergic neurons. We found that addition  
15 of Fz8-CRD to Nurr1-expressing neural stem cells (Nurr1-cl7.2-c42, Wagner et al., 1999) co-cultured with VM T1A partially blocked the induction of dopaminergic neurons. Moreover, Fz8-CRD reduced the number of dopaminergic neurons in VM neural stem cells grown as neurospheres expanded with FGF8 (Figure  
20 5a), a factor that in combination with Shh is known to induce dopaminergic neurons in preparations containing astrocytes, including the developing mouse brain (Ye et al., 1998) and neurospheres derived from Nurr1-expressing mouse embryonic stem cells (Kim et al., 2002). Similarly, co-treatment of  
25 E14.5 VM precursors with Fz8-CRD blocked the induction of dopaminergic neurons from Nurr1+ precursors in both untreated (Figure 5b ) and VM T1A (Figure 5c) or Wnt-5a-treated cultures (Figure 5d), indicating that Wnt-5a is both sufficient and required for the induction of midbrain dopaminergic neurons in  
30 precursors/neural stem cell cultures. Thus, our work suggests a model in which Wnts are essential regulators of two crucial aspects of neurogenesis in the ventral mesencephalon: proliferation and fate decisions of VM precursors (Figure 5F).

We previously showed that astrocyte-derived signals play a decisive role in neurogenesis by inducing tissue specific neuronal phenotypes in *Nurr1*-expressing precursors, including that of midbrain dopaminergic neurons (Wagner et al., 1999, WO00/66713). Interestingly, a similar role of hippocampal astrocytes on adult neurogenesis was recently reported (Song et al., 2002). Herein we have demonstrated that astrocyte-derived signals include members of the Wnt family of ligands, that exert partially overlapping and distinct functions on *Nurr1*-expressing precursors. Wnt-1 promoted neurogenesis by increasing proliferation of neuronal precursors and affected all VM neurons. Wnt-3a promoted the proliferation of *Nurr1*+ precursors and decreased the number of dopaminergic neurons. In contrast, Wnt-5a was less efficient than Wnt-1 and -3a as a mitogen but was the most efficient at inducing a dopaminergic phenotype in *Nurr1*-expressing precursors. Moreover, the finding that Fz8 CRD blocked the induction of dopaminergic neurons not only in *Nurr1*-expressing precursors but also in FGF8-expanded neurospheres, indicates that Wnts are required for the induction of dopaminergic neurons and provides indication that the inductive effects of FGF8 may be mediated by Wnts. This possibility is in agreement with the known ability of FGF8 to induce both Wnt expression and organizer activity in the developing midbrain-hindbrain (for review see: Wurst and Bally-Cuif, 2001; and Rhinn and Brand 2001). Our results identifying Wnts as key cell-extrinsic molecular players in the induction of midbrain dopaminergic neurons and opens the door to the efficient and large scale generation of stem cell-derived dopaminergic neurons for cell replacement in Parkinson's disease (Bjorklund and Lindvall 2000; Price and Williams 2001; Arenas 2002; Rossi and Cattaneo, 2002; Gottlieb et al., 2002). Thus, Wnt ligands and additional signals

derived from ventral mesencephalic astrocytes or early glial cells may be used to induce ventral midbrain dopaminergic neurons. The present invention further provides for identification of additional components required for future  
5 implementation of efficient stem cell therapies.

#### Treatment of neurodegenerative disease

Confirmation of the ability of neurons of the invention to  
10 treat neurodegenerative disease is obtained using an *in vivo* model of Parkinson's disease. Dopamine neurotoxins, 6-hydroxydopamine (6-OHDA) or MPTP are specifically taken up by neurons and lead to oxidative stress and loss of dopaminergic and noradrenergic neurons.

15 Cells expressing *Nurr1* that have been differentiated into dopaminergic neurons *in vitro* are surgically implanted into the substantia nigra and/or the striatum of 6-OHDA or MPTP treated mice or other non-human animals. The ability of these  
20 cells to integrate and fully differentiate is evaluated by electrophysiological and/or morphological techniques in cells expressing reporter genes, such as LacZ and EGFP.

Neurochemical techniques include measures of catecholamine contents and release. Morphological analysis may include  
25 studying the expression of marker genes characteristic of dopaminergic neurons, including tyrosine hydroxylase, dopamine transporter and dopamine receptors, *Ptx3*, *Lmx1b* and *ADH-2*, and the formation of synaptic contacts.

30 The ability of undifferentiated *Nurr1*<sup>+</sup> cells to spontaneously differentiate *in vivo* toward the dopaminergic phenotype is assessed by intrastriatal or intranigral grafting of such cells into axotomized or 6-OHDA- or MPTP-treated animals. The

dopaminergic phenotype, differentiation and integration are detected as described above.

The ability of dopaminergic neurons derived from *Nurr1*<sup>+</sup> cells grafted into the striatum and/or substantia nigra to rescue either motor asymmetries induced by unilateral 6-OHDA treatment or motor abnormalities induced by systemic administration of MPP is confirmed by assessment of circling behaviour in apomorphine and amphetamine tests (Schwartz, R. K., et al., (1996) *Progress in Neurobiology*, 50(2-3), 275-331) and/or by performance in skilled paw usage in the staircase test, the stepping test or the cylinder test.

Host-derived endogenous stem, neural stem, progenitor or precursor cells that express *Nurr1* above basal levels *in vivo*, may be examined for their ability to differentiate into dopaminergic neurons after administration of a Wnt ligand *in vivo*. Analysis may include evaluation at a morphological, biochemical and behavioral levels, as described above. The ability of ventral mesencephalic astrocytes/glia cells or factors derived from them may be analysed as described above in conjunction with Wnt ligand administration.

#### METHODS

25

##### In Situ hybridization (ISH)

Male and female wild type CD-1 mice (25-35g, Charles River, Uppsala) were housed, bred and treated according to the guidelines of the European Community (86/609/EEC), the Society for Neuroscience (January 1985) and all experiments were approved by the local ethical committee. Mice from embryonic days 10.5 and 11.5 were removed and rapidly frozen in O.C.T at -70°C. Serial sagittal sections (14µm thick) through the whole



embryo were collected on glass microscope slides (StarFrost, KnittelGläser). ISH were performed on fresh frozen tissue with <sup>35</sup>S labeled riboprobes as previously described (Trupp et al., 1997). In order to preserve mRNA levels sections were fixed for 15 minutes in ice-cooled 4% PFA and rinsed twice in PBS. Tissue was deproteinized in 0.2 M HCl for 12 min, acetylated with 0.25% acetic anhydride in 0.1 M triethanolamine for 20 min, and dehydrated in increasing concentrations of ethanol. Slides were incubated 16 h in a humidified chamber at 54°C with 10<sup>6</sup> cpm of probe in 200 µl of hybridization cocktail. All the washes were performed at 62°C. First two washes of 15 min in 1xSSC, 30 min in 50% Formamide/0.5xSSC and 15 min in 1xSSC. Followed by 30 min RNase treatment (40 µg/ml) at 37°C and two washes of 15 min in 1xSSC before dehydration in ethanol and air-drying. Chloroform was omitted and the dehydration time was reduced to 30s-1 min. Subsequently the slides were dipped in NTB-2 photoemulsion (Eastman Kodak, Rochester, NY) diluted 1:1 in water, exposed at 4°C for 6-8 weeks, developed with D19 (Eastman Kodak), fixed with AL-4 (Agfa Gevaert, Kista, Sweden), and counterstained with thionin.

#### Real time RT-PCR and quantification of gene expression

Genbank cDNA sequences for mouse and human Wnt 1, Wnt3a, Wnt5a, Frizzled 8, Ptx3, Cyclin D1 and Cyclin D2 and Tyrosine Hydroxylase were used in Primer Express 1.0 (PE Applied Biosystems, Foster City, CA, USA) and Primer 3 ([http://www-genome.wi.mit.edu/cgi-bin/primer/primer3\\_www.cgi](http://www-genome.wi.mit.edu/cgi-bin/primer/primer3_www.cgi)) for primer design. The following oligonucleotides were used:

Quantum RNA classical 18S internal standard (Ambion, Austin, USA);

mWnt1 forward - 5'-CTTCGGCAAGATCGTCAACC-3' (SEQ ID NO: 1);

mWnt1 reverse - 5'-GCGAAGATGAACGCTGTTTCT-3' (SEQ ID NO: 2);

- mWnt3a forward - 5'-GAACGCGACCTGGTCTACTACG-3' (SEQ ID NO: 3);  
 mWnt3a reverse - 5'-GTTAGGTTTCGCAGAAGTTGGGT-3' (SEQ ID NO: 4);  
 mWnt5a forward - 5'-AATAACCCTGTTTCAGATGTCA-3' (SEQ ID NO: 5);  
 mWnt5a reverse - 5'-TACTGCATGTGGTCCTGATA-3' (SEQ ID NO: 6);  
 5 Tyrosine hydroxylase forward - 5'-AGTACTTTGTGCGCTTCGAGGTG-3'  
 (SEQ ID NO: 7);  
 Tyrosine hydroxylase reverse - 5'-CTTGGAACCAGGGAACCTTG-3'  
 (SEQ ID NO: 8);  
 Fz8 forward - 5'-TTGGAAGTGACCTCGCTCCTAG-3' (SEQ ID NO: 9);  
 10 Fz8 reverse - 5'-GGTTGGGCATGTAAGTGTAGTTGT-3' (SEQ ID NO: 10);  
 Ptx3 forward - 5'-AGGGTGGACTCCTACAGATTGG-3' (SEQ ID NO: 11);  
 Ptx3 reverse - 5'-CCGATCCCAGATATTGAAGCC-3' (SEQ ID NO: 12);  
 Cyclin D1 forward - 5'-ACCCTGACACCAATCTCCTCAAC-3' (SEQ ID NO:  
 13);  
 15 Cyclin D1 reverse - 5'-GTAAGATACGGAGGGCGCACAG-3' (SEQ ID NO:  
 14);  
 Cyclin D2 forward - 5'-ACTGATGTGGATTGTCTCAAAGCCT-3' (SEQ ID  
 NO: 15);  
 Cyclin D2 reverse - 5'-CGTTATGCTGCTCTTGACGGAA-3' (SEQ ID NO:  
 20 16).

Apart from 18S, all the remaining primers were purchased from Eurogentec, Seraing, Belgium and DNA Technology A/S, Aarhus, Denmark.

25  
 Total RNA was isolated from confluent T1A astrocyte cultures derived from ventral mesencephalon, dorsal mesencephalon and cortex of P1 rats and from tissue dissected from E10.5, E11.5, E13.5, E15.5 and P1 ventral and dorsal mesencephalon, and E14,  
 30 E16, E18 and P1 cortex, using RNeasy extraction kit (Qiagen, Hilden, Germany). For the reverse transcription, 1µg of total RNA was initially treated with 1 unit RQ1 Rnase-free DNase (Promega, Madison, USA) for 40 minutes. The DNase was

inactivated by the addition of 1  $\mu$ l of EDTA 0.02M and incubation at 65°C for 10 minutes. 0.5  $\mu$ g random primers (Life Technologies, Grand Island, NY, USA) were then added, and the mixture was incubated at 70°C for 10 minutes. Each sample was  
5 then equally divided in two tubes, a cDNA reaction tube and a negative control tube. A master mix containing 1x First-Strand Buffer (Life Technologies, Grand Island, NY, USA), 0.01M DTT (Life Technologies, Grand Island, NY, USA) and 0.5mM dNTPS (Promega, Madison, USA) was then added to both cDNA and RT-  
10 tubes and incubated at 25°C for 10 minutes, followed by a 2 minutes incubation at 42°C. 200 units of Superscript II reverse transcriptase (Life Technologies, Grand Island, NY, USA) were then added only to the cDNA tubes and all sample were incubated at 42°C for 50 minutes. Superscript II was  
15 inactivated with an incubation for 10 minutes at 70°C. Both cDNA and RT- were then diluted 10 times, for further analysis. Real-time PCR was performed in triplicates, with 1 $\mu$ l 1:10 diluted cDNA and RT-, in a total volume of 25  $\mu$ l. Each PCR reaction consisted on 1x PCR buffer (Life Technologies, Grand  
20 Island, NY, USA), 3mM MgCl<sub>2</sub> (Life Technologies, Grand Island, NY, USA), 0.2mM dNTPs (Promega, Madison, USA), 0.3 $\mu$ M each of the forward and reverse primers, 1 unit Platinum Taq DNA polymerase (Life Technologies, Grand Island, NY, USA) and 1x SYBR Green (Molecular Probes, Leiden, The Netherlands). The  
25 PCR was performed at 94°C for 2 min and then for 40 cycles at 94° C for 30 s, at 60°C for 45s, at 72° C for 1 min and at 80°C for 15s (for SYBR Green detection) on the ABI PRISM 5700 Detection System (PE Applied Biosystems, Foster City, CA, USA). Other annealing temperatures included 54°C for Wnt 5a,  
30 62°C for Cyclin D1, and 61°C for Cyclin D2. A melting curve was obtained for each PCR product after each run, in order to confirm that the SYBR Green signal corresponded to a unique and specific amplicon.

Standard curves were generated in every 96-wells plate real time PCR run, using serial 3-fold dilutions of a reverse transcribed RNA or plasmid containing the sequence of interest for every probe. The resulting standard curve plots were then used to convert the Cts (number of PCR cycles needed for a given template to be amplified to an established fluorescence threshold) into arbitrary quantities of initial template of a given sample.

10

The expression levels were obtained by subtracting the RT-value for each sample from the corresponding RT+ value and then dividing that number by the value of the house-keeping gene, 18S, obtained for every sample in parallel assays.

15 Statistical analysis of the results was performed by one way ANOVA. Fisher's protected least significant difference was used *post hoc* to identify specific points at which the different developmental stages differed from the earliest, only when significant interactions occurred. Significance for all tests was assumed at the level of  $p < 0.05$  (\*  $p < 0,05$ ; \*\*  $p < 0,001$ ; \*\*\*  $p < 0,0001$ ).

Each essay for a particular gene was repeated twice. 18S assays were run for each sample, at the beginning and once or twice at the middle of assays, to verify the integrity of the samples. The specificity of PCR primers was determined by BLAST run of the primer sequences. All the PCR products were run in a gel to verify the size of the amplicon. The specificity of the PCR product was determined by sequencing the amplicon in random samples.

#### Precursor cultures and treatments

Ventral mesencephala and cerebral cortices from embryos E13.5-

14.5 obtained from timed-mated Sprague-Dawley rats were dissected, mechanically dissociated and plated at a final density of  $1 \times 10^5$  cells/cm<sup>2</sup> on poly-D-lysine coated 12-well plates in a defined, serum-free medium (N2, consisting of a 5 1:1 mixture of F12 and DMEM containing insulin (10 ng/ml), transferrin (100 µg/ml), putrescine (100 µM), progesterone (20 nM), selenium (30 nM), glucose (6 mg/ml), and bovine serum albumin (1 mg/ml)). Purified Type 1 astrocytes were obtained from mixed glial cultures derived from the VM or CTX of P1 10 rats according to a standard protocol (Wagner et al., 1999). After shaking and replating into 12-well plates, astrocytes were grown to confluency in 15% fetal bovine serum-containing media and changed to N2 medium, at which time freshly dissected VM or CTX cells were plated on top of the astrocytes 15 at a density of  $1 \times 10^5$  cells/cm<sup>2</sup>. All factors were added once, at the initiation of culture, with the exception of 5-bromodeoxyuridine (BrdU), which was added 4-6 hours prior to fixation. Cultures were maintained in a humidified 5% CO<sub>2</sub>, 95% air incubator at 37°C and fixed after given time periods 20 with 4% paraformaldehyde for 45 minutes prior to immunocytochemical analysis.

#### Neurosphere cultures

Ventral mesencephala from E13.5 rat embryos were dissected and 25 pooled together, resuspended in N2 serum-free media, mechanically dissociated and plated at a final density of  $100-125 \times 10^3$  cells/cm<sup>2</sup>, in 24-well plates (BD Bioscience, Erembodegem, Belgium) previously coated with poly-D-lysine (Sigma, Stockholm, Sweden). The cells were expanded in the 30 presence of 20ng/ml FGF8b (R&D Systems, Minneapolis, USA) and 8µg/ml heparin (Sigma, Stockholm, Sweden) and after 7-10 days replated at high sphere density in N2, supplemented by the partially purified conditioned media, and Fz8CRD at 125-

250µg/ml of protein. The neurospheres were then differentiated for 5-7 days. Fixation and immunocytochemistry analysis were performed as previously elsewhere.

5 Wnt Conditioned media preparation, characterization and purification

B1A fibroblast lines stably overexpressing hemagglutinin-tagged Wnt-1a, 3a, or 5a (Shimizu H., 1997) were grown in standard complete media (DMEM + 10% FBS) supplemented with 100ug/ml G-418. For collection of conditioned media, cells were replated at low density in complete media and allowed to reach 50-75% confluency, at which point cells were washed and media was replaced with serum-free N2 (with 10µM sodium butyrate) for 24 hours. Conditioned media from sister flasks was then harvested, pooled as lots and stored at -80°C for up to 2 months. When compared to fresh CM, no loss of activity was observed when using this collection and storage routine. T1A CM was harvested using a similar procedure, with the exception that media was collected after 3 days *in vitro*. For concentration, individual lots of CM were thawed at room temperature, divided into 80 ml aliquots, loaded onto Centricon-Plus 80 columns (Millipore) and concentrated via centrifugation according to the manufacturers instructions. Following concentration, aliquots were re-pooled and frozen at -80 C after a sample was taken for determination of protein content and Western blot analysis. In brief, 20 ug of protein was loaded onto a 10% polyacrylamide mini-gel and run under denaturing conditions at 150 V for approximately 30 minutes. After dry electroblot transfer onto PVDF membranes (Hybond P, Amersham Pharmacia Biotech, Upsalla, Sweden) and pre-incubation for 30 minutes in a blocking buffer consisting of 3% bovine serum albumin in Tris-Buffered Saline with X% Triton-X 100 (TBST), blots were incubated with mouse anti-

hemagglutinin (Babco) diluted 1:1000 in TBST overnight at 4 C.

After washing, blots were incubated with alkaline phosphatase-coupled goat anti-mouse IgG (Santa Cruz) diluted 1:1000 in TBST for 1 hour at room temperature. Blots were visualized using the Amersham ECF reagent and blue fluorescence quantitated using a Molecular Devices Storm 840 phosphoimager.

#### Fz8CRD conditioned media preparation, characterization and purification

B1A fibroblast lines were cotransfected with the mFz8CRD-IgG/pRK5 and the pIRES puro 2 (Clontech, BD Bioscience, Erembodegem, Belgium) plasmids, using Lipofectamine Plus reagent (Invitrogen, Lidingö, Sweden) according to the instruction of the manufacturer. Upon selection for puromycin resistance (1µg/ml Sigma, Stockholm, Sweden), clones were isolated and expanded. A clone overexpressing Fz8CRD 700x (real time RT-PCR analysis) was identified. Harvesting and partial purification of Fz8CRD conditioned media were performed as described previously. In all the blocking experiments performed, the Fz8CRD and B1A control partial purified conditioned medias were used at a final concentration of 125µg/ml.

#### Immunocytochemical analysis

Fixed cultures were incubated with one of the following antibodies, diluted appropriately in phosphate-buffered saline (PBS) containing 1% bovine serum albumin and 0.3% Triton-X 100: mouse anti-BrdU, 1:50 (DAKO, Denmark), mouse anti-β-tubulin, Type III (TuJ1), 1:250 (Sigma), mouse anti-TH, 1:1000 (Incstar, USA), rabbit anti Adh2 1:4000, rabbit anti-Nurr1, 1:10000 (Santa Cruz, USA) or mouse anti-Nurr1, 1:250 (BD Transduction Laboratories, USA). Incubations were either

carried out at 4°C overnight, or at room temperature for 1 hour. Both processes yielded similar results. After washing, cultures were incubated for 1-3 hr with appropriate secondary antibodies (biotinylated 1:500, FITC-, or rhodamine-coupled horse-anti-mouse IgG 1:100 or goat-anti-rabbit IgG 1:100, all from Vector, USA), in the same dilution buffer. Brightfield immunostaining was visualized with the Vector Laboratory ABC immunoperoxidase kit, using either NovaRed (red), or AEC (red), SG or 3-3' dimaminobenzidine tetrahydrochloride (DAB 0.5mg/ml)/nickel chloride (1,6mg/ml) (gray/black), or VIP (violet) substrates. Double-staining was performed by sequential single staining as described. The order of staining was only critical for BrdU-double labeling, in which case the BrdU procedure was always performed second. Control experiments in which either of the primary or secondary antibodies used were deleted demonstrated little to no cross-reactivity between the antibody pairs used.

#### Data analysis and statistics

Quantitative immunocytochemical data on individual cells represent means and standard errors of counts obtained by a blinded observer from 10 non-overlapping fields in each of 3-4 wells per treatment or time point collected from at least 2, but often 3-4 independent experiments. For each variable, initial statistical comparisons were performed by a global ANOVA, with multiple factors of dose, time point, treatment and/or region; if significant interactions between treatment and any other variable existed, data were further divided into separate times, doses or regions. Fisher's protected least significant difference was used *post hoc* to identify specific points at which the treatments differed from controls (or each other) only when significant interactions between factor treatment and other variables occurred. Significance for all



tests was assumed at the level of  $p < 0.05$ . To simplify presentation in the Results section, the outcome of individual statistical analyses, as well as the nature of the analyses performed, is presented within individual figure legends.

5

### SUMMARY

The methods described herein, which take advantage of the multipotential capacity of neural stem or progenitor cells, selector genes such as *Nurr1*, and primary astrocytes, provide for the production of neurons of a desired neurochemical phenotype as a source material for neuronal transplantation in the treatment of neurodegenerative diseases. The induction of midbrain dopaminergic neurons may be used in a cell replacement strategy to treat Parkinson's disease.

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CLAIMS:

1. A method of inducing a dopaminergic neuronal fate in a neural stem, progenitor or precursor cell, or other stem cell  
5 the method comprising:  
expressing *Nurr1* above basal levels within the cell,  
and  
treating the cell with a Wnt ligand,  
whereby dopaminergic neurons are produced.  
10
2. A method according to claim 1 comprising transforming a cell with *Nurr1* DNA or introducing into the cell *Nurr1* RNA.
3. A method according to claim 1 comprising introducing  
15 *Nurr1* protein into the cell..
4. A method according to claim 1 comprising preserving *Nurr1* protein in the cell.
- 20 5. A method according to any one of claims 1 to 4 wherein the Wnt ligand is a *Wnt1* ligand.
6. A method according to any one of claims 1 to 5 wherein the Wnt ligand is a *Wnt5a* ligand.  
25
7. A method according to any one of the preceding claims wherein said neural stem, progenitor or precursor cell or other stem cell is treated with Wnt ligands other than *Wnt-1* or *Wnt-5a* or an additional Wnt ligand.  
30
8. A method according to any one of the preceding claims wherein the neural stem, progenitor or precursor cell or other stem cell is mitotic and/or capable of self-renewal when it is

treated with the Wnt ligand.

9. A method according to any one of the preceding claims wherein said neural stem, progenitor or precursor cell or  
5 other stem cell is additionally contacted with a member of the FGF family of growth factors.
10. A method according to any one of the preceding claims wherein said neural stem, progenitor or precursor cell or  
10 other stem cell is contacted with a retinoid or retinoid derivative, an activator of the retinoid X receptor (RXR), a repressor of the retinoid acid receptor (RAR), 9-cis retinal, DHA, SR11237, or LG849.
- 15 11. A method according to any one of the preceding claims wherein the neural stem, progenitor or precursor cell or other stem cell is pretreated with bFGF and/or EGF and/or FGF-8 and/or LIF prior to treating the cell with a Wnt ligand.
- 20 12. A method according to any one of the preceding claims wherein the neural stem, progenitor or precursor cell or other stem cell is grown in the presence of antioxidants, ascorbic acid or low oxygen tension.
- 25 13. A method according to any one of the preceding claims wherein the neural stem, progenitor or precursor cell or other stem cell grows and/or differentiates in the presence of ventral mesencephalic astrocytes or early glial cells.
- 30 14. A method according to any one of claims 1 to 13 wherein the Wnt ligand is added to an *in vitro* culture containing the cell.

15. A method according to claim 14 wherein Wnt ligand is produced by expression from a cell co-cultured with the neural stem, progenitor or precursor cell, or other stem cell, which co-cultured cell is a cell other than a type 1 astrocyte or early glial cell or is a host cell transformed with nucleic acid encoding the Wnt ligand.
16. A method according to claim 15 wherein the co-cultured cell other than a type 1 astrocyte or early glial cell or host cell is another stem, neural stem, progenitor or precursor cell.
17. A method according to claim 14 wherein the neural stem, progenitor or precursor cell is engineered to express the Wnt ligand from encoding nucleic acid.
18. A method according to any one of claims 1 to 17 comprising further co-culturing the neural stem, progenitor or precursor cell, or other stem cell, with a Type 1 astrocyte or early glial cell of the ventral mesencephalon.
19. A method according to claim 18 wherein the Type 1 astrocyte is immortalized or is of an astrocyte cell line of a region other than the ventral mesencephalon.
20. A method according to any one of the preceding claims further comprising formulating a neuron into a composition comprising one or more additional components.
21. A method according to claim 20 wherein the composition comprises a pharmaceutically acceptable excipient.
22. A method according to any one of claims 1 to 21 further

comprising use of a neuron produced in accordance with the method in the manufacture of a medicament for treatment of an individual.

5 23. A method according to claim 22 wherein the medicament is for implantation into the brain of the individual.

24. A method according to claim 23 wherein the medicament is for treatment of Parkinson's disease, a parkinsonian syndrome,  
10 neuronal loss or a neurodegenerative disease.

25. A method according to any one of claims 1 to 13 wherein a medicament is produced for treatment of a cell in an individual *in situ* to increase *Nurr1* expression.

15

26. A method according to any one of claims 1 to 13 wherein a medicament is produced for treatment of a neural stem, progenitor or precursor cell or other stem cell in an individual *in situ* with a Wnt ligand.

20

27. A method according to claim 26 wherein the medicament is further for treatment of the cell in an individual *in situ* to increase *Nurr1* expression.

25 28. A method according to any one of claims 25 to 27 wherein the neural stem, progenitor or precursor cell or other stem cell is endogenous to the individual.

29. A method according to any one of claims 25 to 27 wherein  
30 the neural stem, progenitor or precursor cell or other stem cell is exogenously supplied by grafting into the individual.

30. A method according to any one of claims 25 to 29 wherein

the individual has Parkinson's disease, a parkinsonian syndrome, neuronal loss or a neurodegenerative disease.

31. A dopaminergic neuron produced in accordance with any one  
5 of claims 1 to 19.

32. Use of a dopaminergic neuron according to claim 31 in a method of screening for an agent for use in treatment of a neurodegenerative disease.

10

33. A method according to any one of claims 1 to 19 further comprising:

(i) treating a dopaminergic neuron with a toxin for said dopaminergic neuron;

15 (ii) separating the dopaminergic neuron from the toxin;

(iii) bringing the treated dopaminergic neuron into contact with a test agent or test agents;

(iv) determining the ability of the dopaminergic neuron to recover from the toxin;

20 (v) comparing said ability of the dopaminergic neuron to recover from the toxin with the ability of a dopaminergic neuron to recover from the toxin in the absence of contact with the test agent or test agents.

25 34. A method according to any one of claims 1 to 19 further comprising:

(i) treating a dopaminergic neuron with a toxin for the dopaminergic neuron in the presence of a test agent or test agents;

30 (ii) determining the ability of the dopaminergic neuron to tolerate the toxin;

(iii) comparing said ability of the dopaminergic neuron to tolerate the toxin with the ability of a dopaminergic



neuron to tolerate the toxin in the absence of contact with the test agent or test agents.

35. A method according to claim 33 or claim 34 further comprising formulating an agent which improves ability of a dopaminergic neuron to recover from or tolerate a said toxin into a composition comprising one or more additional components.

36. A method according to claim 35 wherein said composition comprises a pharmaceutically acceptable excipient.

37. A method according to claim 36 further comprising use of the composition in the manufacture of a medicament for treatment of an individual.

38. A method according to claim 37 wherein the medicament is for treatment of Parkinson's disease, a parkinsonian syndrome, neuronal loss or a neurodegenerative disease.

20

39. A method of obtaining a factor or factors which, either alone or in combination, enhance induction of a dopaminergic fate in a neural stem, progenitor or precursor cell, or other stem cell, expressing *Nurr1* above basal levels, the method comprising:

25

(a) treating a neural stem progenitor or precursor cell, or other stem cell, expressing *Nurr1* above basal levels with a Wnt ligand in the presence and absence of one or more test substances; and

30

(b) determining induction of a dopaminergic fate and comparing the extent of induction in the presence and absence of the test substance or substances, whereby said factor or factors is obtained.

40. A method according to claim 39 wherein the cell is treated with the Wnt ligand by addition of the Wnt ligand to *in vitro* culture containing the cell.

5

41. A method according to claim 40 wherein the neural stem, progenitor or precursor cell, or other stem cell is treated with the Wnt ligand by co-culturing with a cell which is a cell other than a type 1 astrocyte or early glial cell or is a host cell transformed with nucleic acid encoding the Wnt ligand.

10

42. A method according to any one of claims 40 to 41 further comprising co-culturing the neural stem, progenitor or precursor cell, or other stem cell, with a Type 1 astrocyte/early glial cell of the ventral mesencephalon

15

43. A method according to any one of claims 39 to 42 wherein a factor or factors able to enhance induction of a dopaminergic fate in a neural stem, progenitor or precursor cell, or other stem cell, expressing *Nurr1* above basal levels is or are provided in isolated and/or purified form.

20

44. A method according to any one of claims 39 to 43 wherein a factor or factors able to enhance induction of a dopaminergic fate in a neural stem, progenitor or precursor cell, or other stem cell, expressing *Nurr1* above basal levels is or are formulated into a composition comprising one or more additional components.

25

30

45. A method according to claim 44 wherein the composition comprises a neural stem, progenitor or precursor cell, or other stem cell, expressing *Nurr1* above basal levels.

46. A method according to claim 45 wherein the composition comprises Wnt ligand.

5 47. A method according to claim 46 wherein the Wnt ligand is a Wnt1 ligand.

48. A method according to claim 47 wherein the Wnt ligand is a Wnt5a ligand.

10

49. A method according to any one of claims 44 to 48 wherein the composition comprises a pharmaceutically acceptable excipient.

15 50. A method according to claim 49 further comprising use of the composition in the manufacture of a medicament for treatment of an individual.

20 51. A method according to claim 50 wherein the medicament is for implantation into the brain of the individual.

52. A method according to claim 51 wherein the medicament is for treatment of Parkinson's disease, a parkinsonian syndrome, neuronal loss or a neurodegenerative disease.

25

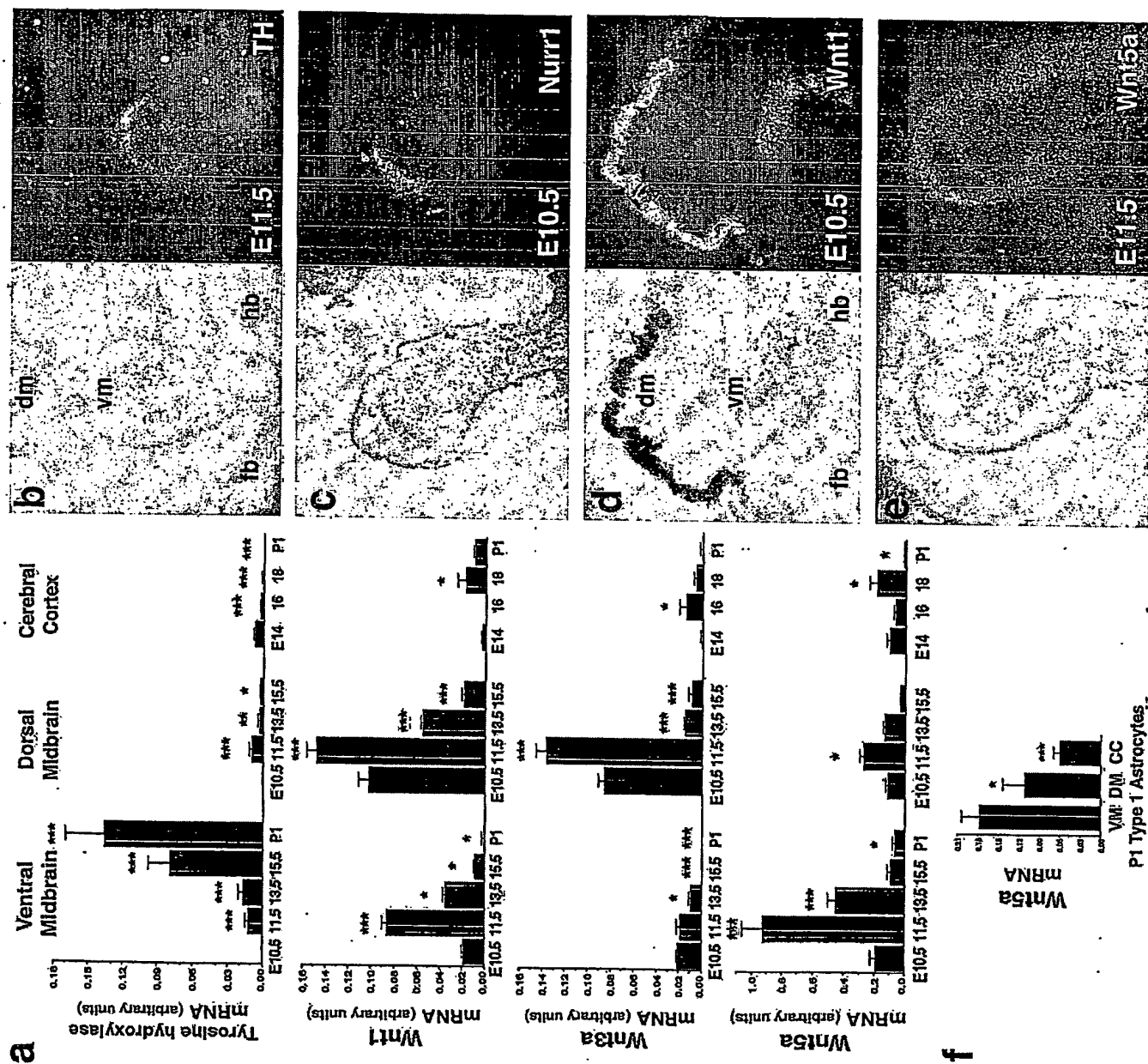


Figure 1

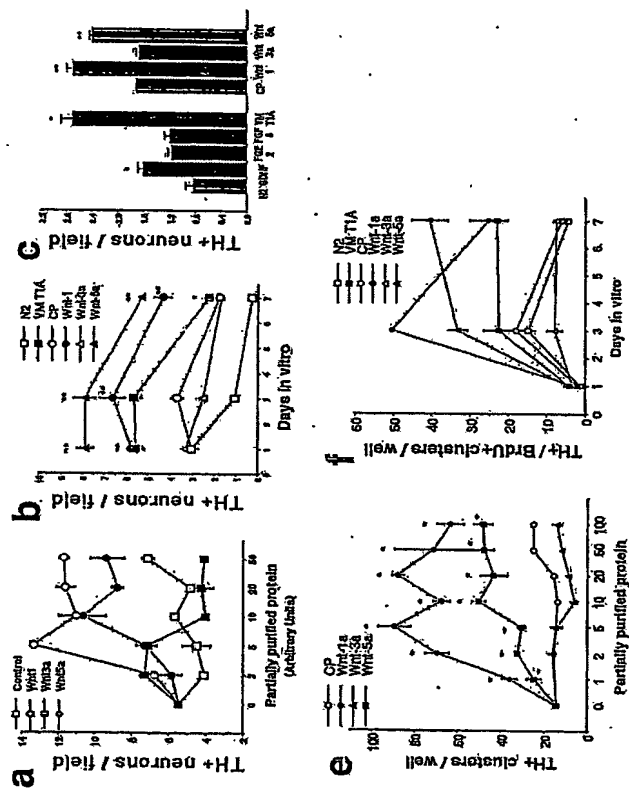


Figure 2

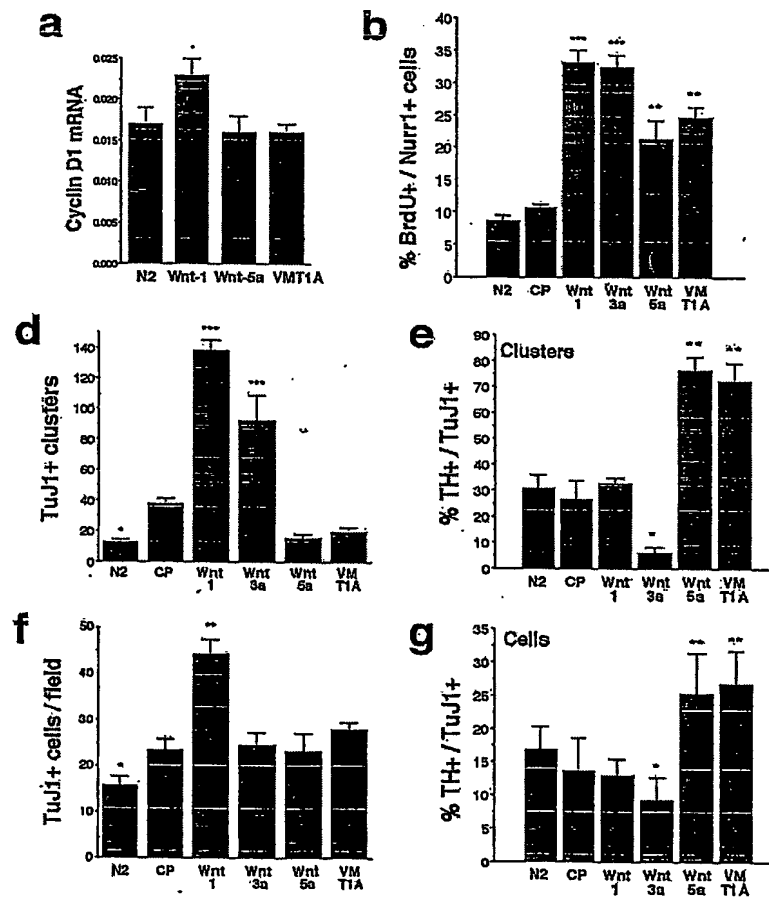


Figure 3

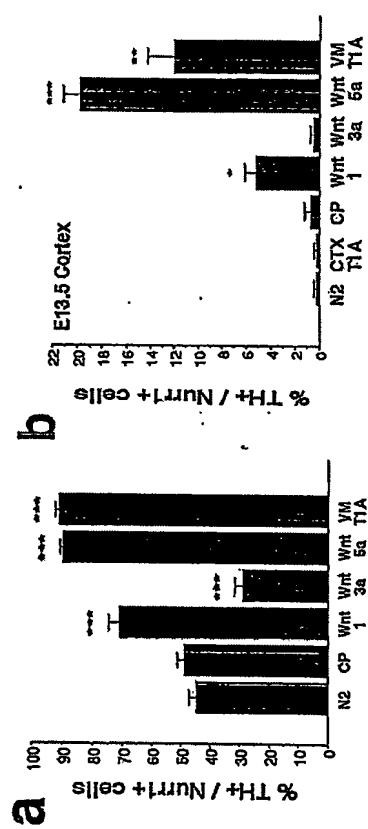


Figure 4

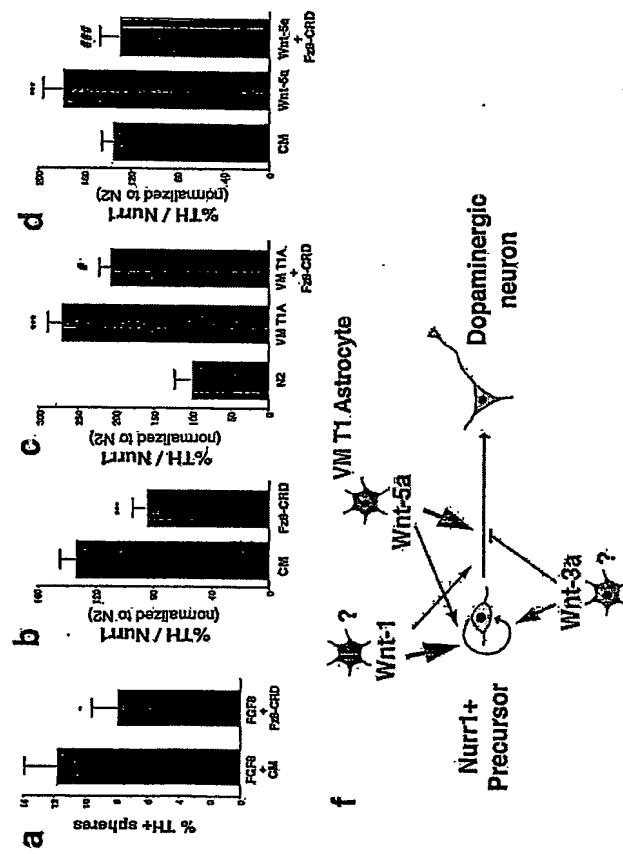


Figure 5



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